

**Budget and Corporate  
Scrutiny Management Board**

**Wednesday 3 April, 2019 at 3.30 pm  
in Committee Room 1  
at the Sandwell Council House, Oldbury**

**Agenda**

(Open to Public and Press)

1. Apologies for absence.
2. Members to declare:-
  - (a) any interest in matters to be discussed at the meeting;
  - (b) the existence and nature of any political Party Whip on any matter to be considered at the meeting.
3. To confirm the minutes of the meeting held on 12 February, 2019 as a correct record.
4. 2018/19 Budget Monitoring – Quarter 3.

**J Britton  
Chief Executive**

Sandwell Council House  
Freeth Street  
Oldbury  
West Midlands

**Distribution:**

Councillors P Hughes (Chair);  
Councillors Ahmed, E M Giles, Rollins and Underhill.

**Agenda prepared by Alex Goddard  
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## **Budget and Corporate Scrutiny Management Board**

### **Apologies for Absence**

The Board will receive any apologies for absence from the members of the Board.

## **Budget and Corporate Scrutiny Management Board**

### **Declaration of Interests**

Members to declare:-

- (a) any interest in matters to be discussed at the meeting;
- (b) the existence and nature of any political Party Whip on any matter to be considered at the meeting.

## Minutes of the Budget and Corporate Scrutiny Management Board

12<sup>th</sup> February, 2019 at 3.35pm  
at Sandwell Council House, Oldbury

**Present:** Councillors Ahmed and E M Giles.

**Apologies:** Councillors P Hughes, Rollins and Underhill.

**In attendance:** D Carter (Executive Director – Resources);  
S Tour (Director of Law and Governance and  
Monitoring Officer);  
R Griffiths (Strategic Finance Manager);  
C Davey (Business Partner – Adults);  
S Lilley (Business Partner – Finance).

### 3/19 **Election of Chair**

**Resolved** that Councillor E M Giles be elected Chair for the meeting.

### 4/19 **Minutes**

**Resolved** that the minutes of the meeting held on 9<sup>th</sup> January, 2019 be approved as a correct record.

### 5/19 **Business Plans 2019-20 to 2021-22**

Further to Minute No. 12/19 of the Cabinet (6<sup>th</sup> February, 2019), the Board received the Council's proposed Business Plans 2019-20 to 2021-22 for review.

The Scrutiny Management Board considered, in accordance with the referral from Cabinet, how the Plans would support the ambitions contained within Vision 2030 and how they represented value for money.

## **Budget and Corporate Scrutiny Management Board – 12<sup>th</sup> February, 2019**

Following comments and questions from members of the Board, the following responses were made and issues highlighted:-

### **General Comments on Business Plans**

- The format was felt to be more reader-friendly and the information had been presented in a way that was easy to understand.
- The inclusion of tables setting out the actions taken in support of the Vision 2030 ambitions and what outcomes there had been was welcomed by the Board.
- The Board was satisfied that the Business Plans were aligned with Vision 2030 and the budgets represented value for money, especially in light of the continued programme of austerity pursued by the government.

### **Adult Social Care, Health and Wellbeing**

- To assist in transparency, underspend that had been carried forward to address resource reductions in subsequent years had been included in the budget for the Management Team as part of the Supplies and Services budget line.
- There was an open recruitment process for the vacant Public Health Consultant – Healthy People post. In the current year there had been two Public Health Consultants; one was still in post but the other had left the Council in October. It had been decided to wait for the new Director – Public Health to be in post before commencing recruitment for the Consultant post to allow the Director to shape the service as she felt was needed.
- Despite the relatively mild weather this winter, numbers of discharges from hospital had remained consistent. It was reported that Sandwell continued to perform well and had low levels of delayed discharges from hospital.

### **Children's Services**

- The Board noted that some of the figures included in the draft plans needed to be updated. These updated figures were provided and would be reported to Cabinet along with the findings from the Board.

## Budget and Corporate Scrutiny Management Board – 12<sup>th</sup> February, 2019

- The single biggest pressure within this area would be in the event of a sustained increase in numbers of children requiring to be placed in care. The Council was working closely with Sandwell Children's Trust on this, including to understand the key drivers for any trends in numbers of children in care. The Board asked to be kept informed of this key pressure.
- The work undertaken in relation to 'IAG' (information, advice and guidance) and employment support for young people included support with CV preparation, interview techniques and completing job application forms. The Board felt that this could have been clearer within the Business Plan.

### Neighbourhoods

- The Council's budget assumptions included an increase of 700 residential properties per year.
- In addition to privately developed residential properties, the Council was also engaged in building new homes. The Council had continued aspirations in this field, which strongly supported Ambition 7 (we now have many new homes to meet a full range of housing needs in attractive neighbourhoods and close to key transport routes). The Government had removed the Housing Revenue Account borrowing cap which could open up opportunities that may otherwise not been possible.
- Every directorate had to deal with staffing reductions, and Neighbourhoods was no exception. The Council had been very successful at managing staffing reductions – including an effective planned leaver scheme and using redeployment of staff to prevent redundancies.
- Digital transformation could help achieve efficiencies as the public using online methods to report their issues and make requests for services was more cost effective than other methods.

### Resources

- The Governance Review was comprised of a range of inter-related pieces of work. Each element would include a bespoke workstream, but there would be joined up communication and thinking across the Governance Review.

## Budget and Corporate Scrutiny Management Board – 12<sup>th</sup> February, 2019

- It was anticipated that the elements of the Governance Review would take place during the next nine months; although the work to refresh the Council's Constitution would take place at the end of the process to ensure any necessary amendments identified throughout the workstreams are included.
- The Local Government Association Peer Review had acknowledged the achievement of Sandwell in protecting frontline services despite the years of austerity and reduced resources put in place by the Government.
- There was a different mindset and culture within Sandwell compared to many other local authorities – the focus was on what the Council had rather than what it didn't.

The Board thanked officers for attending the meeting and for their hard work in relation to the Council's finances.

### **Resolved:-**

- (1) to recommend to the Cabinet:-
  - (a) that the Directorate Business Plans as now submitted to Cabinet be endorsed and commended for their strong alignment with Vision 2030 and the value for money the target budgets and focus areas represent;
  - (b) that the inclusion within the Directorate Business Plans of actions undertaken and the impact of those actions on the ambitions of Vision 2030 be commended;
- (2) that the Budget and Corporate Scrutiny Management Board receive update reports on budget pressures relating to Children's Services and the work being done to address them.

(Meeting ended at 4.25 pm)


Contact Officer: Alex Goddard Democratic Services Unit 0121 569 3178
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## REPORT TO BUDGET AND CORPORATE SCRUTINY MANAGEMENT BOARD

03 April 2019

<b>Subject:</b>	2018/19 Budget Monitoring – Quarter 3
<b>Cabinet Portfolio:</b>	Councillor Steve Trow - Leader of the Council
<b>Director:</b>	Executive Director – Resources – Darren Carter
<b>Contribution towards Vision 2030:</b>	
<b>Exempt Information Ref:</b>	The information contained in this report is not exempt from publication.
<b>Contact Officer(s):</b>	Alex Goddard, Scrutiny Officer alexander_goddard@sandwell.gov.uk

### DECISION RECOMMENDATIONS

**That Budget and Corporate Scrutiny Management Board:**

1. considers the 2018/19 Budget Monitoring - Quarter 3 report;
2. identifies any recommendations it wishes to make to Cabinet.

#### 1 PURPOSE OF THE REPORT

- 1.1 At its meeting on 20 February, 2019 the Cabinet received, considered and agreed the 2018/19 Budget Monitoring report for Quarter 3. The Cabinet also referred the report to the Budget and Corporate Scrutiny Management Board for consideration and comment.
- 1.2 Any recommendations arising from the consideration of this report will be reported to the Cabinet.

## **2 IMPLICATION FOR VISION 2030**

- 2.1 The Council's sound financial management, which is strengthened through the Scrutiny Management Board's consideration of monitoring reports, underpins all of the ambitions within the Vision for the borough.

## **3 BACKGROUND AND MAIN CONSIDERATIONS**

- 3.1 This is contained within the appended report to Cabinet.

## **4 THE CURRENT POSITION**

- 4.1 The current position is detailed in the appended report.

## **5 CONSULTATION (CUSTOMERS AND OTHER STAKEHOLDERS)**

- 5.1 This is addressed in the appended report.

## **6 ALTERNATIVE OPTIONS**

- 6.1 Alternative options are detailed in the report to Cabinet at Appendix 1.

## **7 STRATEGIC RESOURCE IMPLICATIONS**

- 7.1 These are set out in the appended report to Cabinet.

## **8 LEGAL AND GOVERNANCE CONSIDERATIONS**

- 8.1 The appended report contains the legal and governance matters relating to budget monitoring.

## **9 EQUALITY IMPACT ASSESSMENT**

- 9.1 This is addressed in the appended report.

## **10 DATA PROTECTION IMPACT ASSESSMENT**

- 10.1 This is set out in the appended report to Cabinet.

## **11 CRIME AND DISORDER AND RISK ASSESSMENT**

- 11.1 This is addressed in the appended report.

## **12 SUSTAINABILITY OF PROPOSALS**

12.1 Sustainability of the proposals is included in the report to Cabinet at Appendix 1.

## **13 HEALTH AND WELLBEING IMPLICATIONS (INCLUDING SOCIAL VALUE)**

13.1 These are addressed in the appended report.

## **14 IMPACT ON ANY COUNCIL MANAGED PROPERTY OR LAND**

14.1 This is included in the appended report to Cabinet.

## **15 CONCLUSIONS AND SUMMARY OF REASONS FOR THE RECOMMENDATIONS**

15.1 The Cabinet referred the budget monitoring report to the Scrutiny Management Board for further consideration and review in line with good practice for the Council's overview and scrutiny function. This provides an opportunity for the Scrutiny Management Board to seek clarity or further information and to make any recommendations it wishes to Cabinet on the budget monitoring report for quarter 3.

## **16 BACKGROUND PAPERS**

16.1 All relevant papers are included in the appendix to this report.


## **17 APPENDICES:**

The report to Cabinet on 2018/19 Budget Monitoring - Quarter 3 is appended to this report.

**Darren Carter**  
**Executive Director – Resources**

## REPORT TO CABINET

20 February 2019

<b>Subject:</b>	<b>2018/19 Budget Monitoring – Quarter 3</b>
<b>Presenting Member:</b>	<b>Councillor Steve Eling – Leader of the Council</b>
<b>Director:</b>	<b>Executive Director – Resources – Darren Carter</b>
<b>Contribution towards Vision 2030:</b>	
<b>Key Decision:</b>	Yes
<b>Forward Plan (28 day notice) Reference:</b>	SMBC02/02/2019
<b>Cabinet Member Approval and Date:</b>	Councillor Steve Eling – Leader of the Council
<b>Director Approval:</b>	Darren Carter – Executive Director – Resources
<b>Reason for Urgency:</b>	Urgency provisions do not apply.
<b>Exempt Information Ref:</b>	Exemption provisions do not apply.
<b>Ward Councillor (s) Consulted (if applicable):</b>	Ward members have not been consulted.
<b>Scrutiny Consultation Considered?</b>	Scrutiny has not been consulted.
<b>Contact Officer(s):</b>	Rebecca Griffiths, Head of Finance <a href="mailto:Rebecca_griffiths@sandwell.gov.uk">Rebecca_griffiths@sandwell.gov.uk</a>

### **DECISION RECOMMENDATIONS**

## That Cabinet:

1. Receive the financial monitoring position of individual directorates and the Housing Revenue Account (HRA) as at 31 December 2018 (quarter 3 2018/19) and refer to the Budget and Corporate Services Scrutiny Management Board for consideration and comment.
2. Note the current financial position for the council: -

Directorate	Projected (Surplus) / Deficit £000
Corporate Management	0
Resources	(1,656)
Adult Social Care	(1,640)
Regeneration & Growth	0
Housing & Communities	98
Children's Services	138
<b>Total Net Service Expenditure</b>	<b>(3,060)</b>
Central Items	(592)
<b>Total Net Service Expenditure (incl Central Items)</b>	<b>(3,652)</b>

The above figure excludes the use of corporate resources by service areas. When this is included, the overall position is a projected surplus of **£2.832m**.

3. Approve the following capital charge adjustments above £0.250m: -

	£000
<b>Capital Charge Adjustments</b>	
Adult Social Care	1,991
Children's Services	(887)
Housing and Communities	1,077
Resources	332

4. Approve the following budget adjustments between directorates: -

Resources	£000	£000
Transfer of Public Law Fees budget to central items		300
<b>Total</b>	<b>0</b>	<b>300</b>
Central Items	£000	£000
Transfer of Public Law Fees budget from Resources	300	
<b>Total</b>	<b>300</b>	<b>0</b>

5. Approve the following budget adjustments within directorates: -

<b>Housing and Communities</b>	<b>£000</b>	<b>£000</b>
<u>Restructure: -</u>		
Communities & Partnerships		6,654
Housing Management	2,229	
Tourism, Culture & Leisure	9,828	
Commercial Services		5,522
Business Excellence	119	
<b>Total</b>	<b>12,176</b>	<b>12,176</b>
<b>HRA</b>	<b>£000</b>	<b>£000</b>
<u>Restructure: -</u>		
Communities & Partnerships		1,825
Housing Management	1,825	
<b>Total</b>	<b>1,825</b>	<b>1,825</b>

6. Approve budget adjustments for the following specific grants: -

<b>Directorate</b>	<b>Grant Details</b>	<b>Amount (£000)</b>
Adult Social Care	Winter Pressures Grant	1.847

7. Approve the following proposed uses of surpluses/deficits: -

	<b>£000</b>
<b><u>Adult Social Care</u></b>	
Forecast surplus be carried forward to 2021/22 to assist in the management of projected budget pressures	1,640
<b><u>Resources</u></b>	
Carry Forward to 2019/20 to fund planned leavers beyond deletion of posts	124
Carry Forward to 2019/20 & 2020/21 to fund 2 Higher Level Apprentices	96
Request reprofiling of prior year carry forwards due to delay	184
Carry Forward to 2019/20, 2020/21 and 2021/22 to fund Microsoft Server & Cloud enrolment	500
Carry Forward to 2019/20 to fund Oracle Licensing and various ICT projects	691
To be allocated - further details to be provided in outturn report.	61
<b>Total</b>	<b>1,656</b>
<b><u>Public Health</u></b>	
Balance of the forecast surplus to be carried forward to 2020/21 to assist in the management of the reductions in the value of the Public Health Grant	454

<b><u>Children's Services</u></b> Directorate savings to be identified	138	
<b><u>Housing &amp; Communities</u></b> Directorate savings to be identified	98	

## 1 PURPOSE OF THE REPORT

- 1.1 This report summarises the financial position of Sandwell MBC as at the end of December 2018 (quarter 3 2018/19).

## 2 IMPLICATION FOR THE COUNCIL'S AMBITION

- 2.1 Each of the Council's 10 ambitions are engaged across this report; the Council's financial status helps to underpin the Council's Vision 2030 and associated aspirations.

## 3 BACKGROUND AND MAIN CONSIDERATIONS

- 3.1 The Executive Director of Resources is required to report the financial position of the authority to Cabinet on a quarterly basis.
- 3.2 The report sets out the projected year-end variances for each directorate and the reasons for those variances.

## 4 THE CURRENT POSITION

### FORECAST OUTTURN SUMMARY

- 4.1 At a directorate level, excluding Public Health and the HRA, the council is forecasting a year-end surplus of **£3.652m** which is broken down in the table below: -

Directorate	Projected (Surplus) / Deficit £000	Main reasons for surplus/deficit
Corporate Management	0	
Resources	(1,656)	Delay in using 2017/18 b/fwd and additional government grant income
Adult Social Care	(1,640)	Vacancies and utilisation of Winter Pressures Grant to offset pressures.
Regeneration & Growth	0	
Housing & Communities	98	Temporary accommodation costs reduced by vacancies
Children's Services	138	SEN Home to School transport reduced by various savings.

<b>Total Net Service Expenditure</b>	<b>(3,060)</b>	
Central Items	(592)	
<b>Total Net Service Expenditure (incl Central Items)</b>	<b>(3,652)</b>	

- 4.2 In addition, there is a projected year-end surplus against Public Health of **£0.454**. This is a ring-fenced grant and is therefore required to be carried forward into 2019/20.
- 4.3 More detailed explanations for these variances are contained within the individual appendices for each directorate.
- 4.4 The forecast outturn for individual directorates assumes the use of some corporate resources. When this is taken into account, the projected year-end surplus for the council as a whole is **£2.832m**.

### REVENUE VIREMENTS

- 4.5 Approval for the following capital charge budget adjustments is requested:  
-

	£000
<b>Capital Charge Adjustments</b>	
Adult Social Care	1,991
Children's Services	(887)
Housing and Communities Resources	1,077
	332

- 4.6 Approval to transfer a budget of £0.300m from Resources to Central Items is requested as it is considered that this area of expenditure is not within the control of a specific directorate.
- 4.7 Approval for the following revenue virements within service areas is requested: -

<b>Housing and Communities</b>	£000	£000
Communities & Partnerships		6,654
Housing Management	2,229	
Tourism, Culture & Leisure	9,828	
Commercial Services		5,522
Business Excellence	119	
<b>Total</b>	<b>12,176</b>	<b>12,176</b>
<b>HRA</b>	<b>£000</b>	<b>£000</b>



Communities & Partnerships Housing Management	1,825	1,825
<b>Total</b>	<b>1,825</b>	<b>1,825</b>

These virements relate to the restructure of the Housing and Communities directorate.

## CENTRAL ITEMS

- 4.8 The council has several budgets that are held centrally and classified as Central Items. This is because the nature of these budgets is not within the control of a specific directorate.
- 4.9 The forecast outturn against these budgets is a surplus of **£0.592m**. The reasons for this surplus can be found in the individual appendices for directorates.

## USE OF RESERVES

- 4.10 At the end of 2017/18, a total of **£24.481m** was held in earmarked reserves. It is forecast that **£1.267m** of these reserves will be used during 2018/19 leaving a remaining balance of **£23.190m** at the end of the financial year.
- 4.11 A breakdown of these earmarked reserves can be found in Appendix C.

## GRANTS & CONTRIBUTIONS

- 4.1 Additional resources are received by directorates throughout the year to fund specific activities. This means that approval is required for both the income and expenditure budgets for the relevant directorate to increase in comparison to the original approved budget.
- 4.2 The following specific grants and contributions have been received since the last quarter's monitoring: -

Directorate	Grant Details	Amount (£000)
Adult Social Care	Winter Pressures Grant	1.847

## HOUSING REVENUE ACCOUNT

- 4.3 Appendix M shows the budget monitoring for the council's Housing Revenue Account (HRA) for the third quarter of 2018/19. The HRA is forecasting a year-end surplus of **£0.275m**.

## SOURCES OF FINANCE

- 4.4 There have been no adjustments to the sources of finance that formed part of the approved budget for the council.

## **CAPITAL MONITORING**

- 4.5 The capital programme has been amended for additional adjustments and reprofiling of funding into future years. The programme is forecast to break even at year-end. Appendix D shows a breakdown of the capital programme.
- 4.6 There have been no capital virements to date.

## **SECTION 106 MONIES**

- 4.7 Section 106 monies are paid by developers towards the cost of providing community and social infrastructure but must be used for specific purposes. Details of the Section 106 monies held by the council and how these are being used are contained within the individual directorate appendices.

## **FINANCIAL HEALTH INDICATORS**

- 4.8 Appendix E shows how the council is performing in terms of various financial health indicators.

## **5 CONSULTATION (CUSTOMERS AND OTHER STAKEHOLDERS)**

- 5.1 There is no requirement to formally consult stakeholders or customers in relation to this report.

## **6 ALTERNATIVE OPTIONS**

- 6.1 The alternative option is to fail to provide a report on the Council's financial status. This would be in contravention of statutory obligations and would place the Council at risk of challenge and poor practice.

## **7 STRATEGIC RESOURCE IMPLICATIONS**

- 7.1 Strategic resource implications are contained within the main body of the report.

## **8 LEGAL AND GOVERNANCE CONSIDERATIONS**

- 8.1 The Local Government Act 2003 places a requirement on local authorities to provide Members with regular financial monitoring.

## **9 EQUALITY IMPACT ASSESSMENT**

9.1 There is no requirement to conduct an Equality Impact Assessment.

## **10 DATA PROTECTION IMPACT ASSESSMENT**

10.1 Any information used to compile this report is subject to information governance legislation and is managed in accordance with the Council's policies and protocols. A Data Protection Impact Assessment is not required.

## **11 CRIME AND DISORDER AND RISK ASSESSMENT**

11.1 There no requirement to carry out a Crime and Disorder and Risk Assessment.

## **12 SUSTAINABILITY OF PROPOSALS**

12.1 This information is contained within the main body of this report.

## **13 HEALTH AND WELLBEING IMPLICATIONS (INCLUDING SOCIAL VALUE)**

13.1 The financial prosperity of the council provides a foundation for health and wellbeing across the remit of the council.

## **14 IMPACT ON ANY COUNCIL MANAGED PROPERTY OR LAND**

14.1 There is no direct impact on any land or assets.

## **15 CONCLUSIONS AND SUMMARY OF REASONS FOR THE RECOMMENDATIONS**

15.1 Recommendations within this report are requested to ensure the Council can conduct its business efficiently, take action to provide for the security of the assets under its control, and for ensuring that the use of these resources is legal, properly authorised, provides value for money and achieves best value.

15.2 The detailed recommendations are outlined in the summary at the beginning of the report.

## **16 BACKGROUND PAPERS**

16.1 Revenue/capital monitoring returns from directorates.

17 **APPENDICES:**

- Appendix A – Revenue Monitoring Summary
- Appendix B – Central Items Summary
- Appendix C – Earmarked Reserves
- Appendix D – Capital Monitoring
- Appendix E (i) Key Performance Indicators
- Appendix E (ii) Aged Debt Report
- Appendix F – Corporate Management Financial Monitoring
- Appendix G – Resources Financial Management
- Appendix H – Adult Social Care Financial Monitoring
- Appendix I - Regeneration & Growth Financial Monitoring
- Appendix J – Housing & Communities Financial Monitoring
- Appendix K – Children’s Services Financial Monitoring
- Appendix L – Public Health Financial Monitoring
- Appendix M – Housing Revenue Account Financial Monitoring

**Darren Carter**  
**Executive Director – Resources**

	Annual Target Budget	Projected Outturn	Use of / (Contribution to) Reserves	Use of Corporate Resources	Revised Outturn	Carry Forwards Previously Approved	Revenue Contribution to Capital (RCCO)	Projected (Surplus) / Deficit after RCCO & Approved C/fwds
	£	£	£	£	£	£	£	£
Corporate Management	331	331	0	0	331	0	0	0
Resources	17,506	18,802	0	(3,186)	15,616	234	0	(1,656)
Adult Social Care	83,012	77,100	0	0	77,100	4,272	0	(1,640)
Regeneration & Growth	24,497	25,503	216	(1,455)	24,264	233	0	0
Housing & Communities	18,687	20,389	(64)	(1,640)	18,685	0	100	98
Children's Services	80,036	82,533	(1,296)	(1,063)	80,174	0	0	138
<b>Total Net Service Expenditure</b>	<b>224,069</b>	<b>224,658</b>	<b>(1,144)</b>	<b>(7,344)</b>	<b>216,170</b>	<b>4,739</b>	<b>100</b>	<b>(3,060)</b>
Central Items	27,087	25,932	(85)	648	26,495			(592)
					0			
<b>Total Net Expenditure including Central Items</b>	<b>251,156</b>	<b>250,590</b>	<b>(1,229)</b>	<b>(6,696)</b>	<b>242,665</b>	<b>4,739</b>	<b>100</b>	<b>(3,652)</b>
Public Health - Ringfenced Grant	2,778	889	29	(124)	794	1,530	0	(454)
<b>Total Net Expenditure (including Public Health)</b>	<b>253,934</b>	<b>251,480</b>	<b>(1,200)</b>	<b>(6,820)</b>	<b>243,460</b>	<b>6,269</b>	<b>100</b>	<b>(4,105)</b>
<b>Non Service Income &amp; Expenditure:</b>								
Capital Charge Adjustment	(30,095)	(30,095)			(30,095)			0
Revenue Contributions towards Capital	6,000				0			(6,000)
External Interest Payments	18,647	18,647			18,647			0
Interest / Dividend Receipts	(2,277)	(2,277)			(2,277)			0
Contingency	451	451			451			0
					0			0
					0			0
<b>Levy Payments:</b>								
West Midlands Passenger Transport Levy	12,922	12,922			12,922			0
West Midlands Magistrates Courts	41	41			41			0
Environment Agency (Flood Defence Levy)	82	82			82			0
					0			0
					0			0
<b>Movement On Reserves &amp; Balances:</b>								
Changes in Balances - Services (14/15,15/16,16/17 & 17/18 C/Fwds)	(13,984)	(13,984)			(13,984)			0
Changes in Balances - Other		0			0			0
Changes In Balances - Central Items					0			0
Changes in Balances - Earmarked Funds Allocated To Targets	(11,232)	(11,232)			(11,232)			0
					0			0
Use Of Centrally Earmarked Balances				(6,820)	6,820			6,820
Unutilised Grants to be Carried Forward		454			454			454
					0			0
<b>Total Net Borough Expenditure</b>	<b>234,489</b>	<b>226,489</b>	<b>(1,200)</b>	<b>(13,640)</b>	<b>225,289</b>	<b>6,269</b>	<b>100</b>	<b>(2,832)</b>
<b>Sources of Finance:</b>								
Revenue Support Grant	0	0			0			0
Retained Business Rates	(96,095)	(96,095)			(96,095)			0
Business Rates Top Up	(50,161)	(50,161)			(50,161)			0
Collection Fund Surplus (-) / Deficit - Council Tax	431	431			431			0
Collection Fund Surplus (-) / Deficit - NDR	8,649	8,649			8,649			0
<b>Total Net Borough Sources of Finance</b>	<b>(137,176)</b>	<b>(137,176)</b>	<b>0</b>	<b>0</b>	<b>(137,176)</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Total Net Borough Surplus(-)/Deficit</b>	<b>97,313</b>	<b>89,313</b>	<b>(1,200)</b>	<b>(13,640)</b>	<b>88,113</b>	<b>6,269</b>	<b>100</b>	<b>(2,832)</b>

	Annual Budget	Projected Outturn	Projected (Surplus) / Deficit
Resources	£	£	£
Housing Benefits	501	423	(78)
Pensions General	4,729	4,548	(181)
W'ton: WMCC & WMRE	45	37	(8)
External Audit Fee	180	194	14
Joint Committee Servicing	19	19	0
Local Authority Subs	85	85	0
New Homes Bonus Grant	(3,593)	(3,598)	(6)
Council Tax Grant			0
Business Rates Compensation Grant	(11,633)	(12,094)	(461)
Past Service Pension Costs	8,600	8,436	(164)
Special Events	25	5	(20)
Equal Pay			0
Members Allowances	1,351	1,351	0
Coroners	335	335	0
Bank Charges	225	235	10
Airport Rent Income	(100)	(100)	0
Discretionary Rate Relief			0
Corporate Projects			0
Social Fund			0
Insurance	(395)	(395)	0
Apprenticeship Levy	480	442	(38)
Templink	(429)	100	529
Local Welfare Provision	0	237	237
Savings Target	0	0	0
<b>Total</b>	<b>425</b>	<b>260</b>	<b>(165)</b>
<b>Contribution to / (Use of) Reserves</b>			<b>(85)</b>
<b>Use of Corporate Resources</b>			<b>(152)</b>
<b>Adjusted Net Variance</b>			<b>(402)</b>
	Annual Budget	Projected Outturn	Projected (Surplus) / Deficit
Children's Services/Schools	£	£	£
Building Schools for the Future	400	400	0
Sandwell Guarantee			0
<b>Total</b>	<b>400</b>	<b>400</b>	<b>0</b>
<b>Contribution to / (Use of) Reserves</b>			<b>0</b>
<b>Use of Corporate Resources</b>			<b>0</b>
<b>Adjusted Net Variance</b>			<b>0</b>
	Annual Budget	Projected Outturn	Projected (Surplus) / Deficit
Neighbourhoods	£	£	£
Waste Partnership	25,962	25,162	(800)
<b>Total</b>	<b>25,962</b>	<b>25,162</b>	<b>(800)</b>
<b>Contribution to / (Use of) Reserves</b>			<b>0</b>
<b>Use of Corporate Resources</b>			<b>800</b>
<b>Adjusted Net Variance</b>			<b>0</b>
	Annual Budget	Projected Outturn	Projected (Surplus) / Deficit
Regeneration & Economy	£	£	£
Carbon Reduction Commitment	300	110	(190)
<b>Total</b>	<b>300</b>	<b>110</b>	<b>(190)</b>
<b>Contribution to / (Use of) Reserves</b>			<b>0</b>
<b>Use of Corporate Resources</b>			<b>0</b>
<b>Adjusted Net Variance</b>			<b>(190)</b>
<b>Total Central Items</b>	<b>27,087</b>	<b>25,932</b>	<b>(1,155)</b>
<b>Total contribution to / (Use of) Reserves</b>			<b>(85)</b>
<b>Use of Corporate Resources</b>			<b>648</b>
<b>Adjusted Net Variance</b>			<b>(592)</b>

Earmarked Reserve	Directorate	Balance as at 31 March 2018	Profile of Usage (Increase) / Decrease 2018/19					Forecast Outturn 2018/19	Forecast Variance (Under) / Over 2018/19
		£000	£000	£'000	£'000	£'000	£'000	£000	£000
<b>General Fund</b>									
Insurance Reserve	Resources	9,608						0	9,608
Grants Irregularities Reserve	Resources	1,031						0	1,031
Social Fund Grant Reserve	Resources	152						152	0
Oracle Replacement	Resources	2,625						0	2,625
Proceeds of Crime	Resources	29						0	29
SCT set up costs	Resources	770						0	770
Supporting People	Adult Social Care	1,047						0	1,047
Taxi Licensing	Adult Social Care	104						0	104
Early Help	Childrens Services	1,083						1,083	0
Childrens Workforce Development	Childrens Services								0
Safeguarding Childrens Board	Childrens Services	178						178	0
Adoption/Family Placements	Childrens Services								
FACT/Emotional Health and Wellbeing	Childrens Services								
Governor Reviews	Childrens Services								
Improvement Reserve	Childrens Services								
Regen & Economy	Childrens Services	186						35	151
BSF FM Sinking Fund Reserve	Childrens Services	2,070						0	2,070
Teaching For Public Health Network	Public Health	288						(29)	317
West Midlands Regional Research	Regeneration & Economy	329						84	245
Personal Search Fee (Land Charges register)	Regeneration & Economy								
Sandwell Business Loans Fund	Regeneration & Economy	16						0	16
Black country core Strategy	Regeneration & Economy								
Regeneration & Economy (Economy & Skills)	Regeneration & Economy								
Sinking Fund RBC building	Regeneration & Economy	338						(50)	388
Sinking Fund Centrs 6th Building	Regeneration & Economy	498						(250)	748
Sports Grants	Neighbourhoods	48						24	
Physical Activity Board	Neighbourhoods								
Portway Lifestyle Centre Property Reserve	Neighbourhoods	437						(75)	512
Private Sector Leasing	Neighbourhoods	217						75	142
Mortgage Rescue	Neighbourhoods	59						0	59
Dartmouth Park	Neighbourhoods	358						40	318
<b>Total General Fund</b>		<b>21,471</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1,267</b>	<b>20,180</b>
<b>Non General Fund</b>									
Welfare Reform	Housing Revenue Account	3,010						0	3,010
<b>Total Non General Fund</b>		<b>3,010</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>3,010</b>
<b>Total Earmarked Reserves</b>		<b>24,481</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1,267</b>	<b>23,190</b>

**SANDWELL METROPOLITAN BOROUGH COUNCIL****CAPITAL MONITORING 2018/2019 - PERIOD 9 DECEMBER**

SUMMARY	Original Budget 2018/19 (Main Programme)	Additional Approvals / Adjustments	Re-Profile to Future Years	Revised Budget 2018/19 (Main Programme)	Self Financing	Total Budget 2018/19	2018/19			(Surplus) / Deficit for the Year
							Actual Spend to Date	Remaining Spend	Total Forecast Expenditure	
DECEMBER 2018/19	£	£	£	£	£	£	£	£	£	£
<b>PERFORMANCE</b>										
Corporate Management	9,000	0	0	9,000	0	9,000	90	8,910	9,000	0
Resources	2,270,000	1,200,000	-2,228,000	1,242,000	0	1,242,000	678,010	563,990	1,242,000	0
<b>PERFORMANCE TOTAL</b>	<b>2,279,000</b>	<b>1,200,000</b>	<b>-2,228,000</b>	<b>1,251,000</b>	<b>0</b>	<b>1,251,000</b>	<b>678,100</b>	<b>572,900</b>	<b>1,251,000</b>	<b>0</b>
<b>PEOPLE</b>										
Childrens Services	81,000	0	0	81,000	20,980,000	21,061,000	10,960,492	10,100,508	21,061,000	0
Adults Social Care	2,244,000	0	-1,966,000	278,000	2,639,000	2,917,000	2,197,965	719,035	2,917,000	0
<b>PEOPLE TOTAL</b>	<b>2,325,000</b>	<b>0</b>	<b>-1,966,000</b>	<b>359,000</b>	<b>23,619,000</b>	<b>23,978,000</b>	<b>13,158,456</b>	<b>10,819,544</b>	<b>23,978,000</b>	<b>0</b>
<b>NEIGHBOURHOODS</b>										
Regeneration & Growth	4,959,000	-1,115,000	-1,025,000	2,819,000	6,390,000	9,209,000	5,050,824	4,158,176	9,209,000	0
Housing & Communities	6,734,000	1,000,000	-1,328,000	6,406,000	542,000	6,948,000	2,930,677	4,017,323	6,948,000	0
<b>NEIGHBOURHOODS TOTAL</b>	<b>11,693,000</b>	<b>-115,000</b>	<b>-2,353,000</b>	<b>9,225,000</b>	<b>6,932,000</b>	<b>16,157,000</b>	<b>7,981,502</b>	<b>8,175,498</b>	<b>16,157,000</b>	<b>0</b>
<b>HOUSING REVENUE ACCOUNT</b>										
Housing Revenue Account (HRA)	68,073,000	-2,237,000	-20,557,000	45,279,000	2,655,000	47,934,000	27,960,687	19,973,554	47,934,241	241
<b>HOUSING REVENUE ACCOUNT (HRA) TOTAL</b>	<b>68,073,000</b>	<b>-2,237,000</b>	<b>-20,557,000</b>	<b>45,279,000</b>	<b>2,655,000</b>	<b>47,934,000</b>	<b>27,960,687</b>	<b>19,973,554</b>	<b>47,934,241</b>	<b>241</b>
<b>GRAND TOTAL</b>	<b>84,370,000</b>	<b>-1,152,000</b>	<b>-27,104,000</b>	<b>56,114,000</b>	<b>33,206,000</b>	<b>89,320,000</b>	<b>49,778,745</b>	<b>39,541,496</b>	<b>89,320,241</b>	<b>241</b>



**Key Financial Outturn Performance Indicators at Quarter 3 2018/19**

**Appendix E**

Key Performance Indicator		2018/19	Change from 2017/18	2017/18	Commentary
<b>1</b>	<b><u>Revenue Collection Performance</u></b>				
1.1	<b>Council Tax Collection Rate</b>	85%	↔	85%	A higher figure is beneficial in improving the Council's cash flow and also reducing administration costs.
1.2	<b>Business Rates Collection Rate</b>	83%	↑	82%	A higher figure is beneficial in improving the Council's cash flow and also reducing administration costs.
<b>1.3</b>	<b>General Debtors</b>				
	1.3.1 Total Amount Billed to Date (£m)	57	↔	57	Target for Q3 is 80% collection rate.
	1.3.2 Collection Rate	81%	↓	85%	
	1.3.3 Average No. of Days to receive payment from Customers	91	↑	80	A lower figure is beneficial to the Council in relation to improved cash flow and reduced administration costs.
	1.3.4 Credit Notes Raised as a % of Total Customer Invoices	4%	↔	4%	A lower figure helps to save time in dealing with customer queries and reduces the cost of administration.
	1.3.5 Proportion of Debt > 90 days old from invoice date	54%	↔	54%	A lower figure helps to improve the council's cash flow.
<b>1.4</b>	<b>Housing Rents</b>				
	1.4.1 Value of Rent Debit to Date (£m)	88	↓	90	A small % decrease in the value of the rent debit.
	1.4.2 Collection Rate	95%	↔	95%	No change in the collection rate.
<b>2</b>	<b><u>Accounts Payable</u></b>				
2.1	Proportion of Payments made by Electronic means (BACS & Bank Transfers)	93%	↓	94%	A higher figure is beneficial in terms of reducing administration costs and improved processes. Suppliers of goods and services receive prompt payment of invoices and therefore improved cash flow.

**Aged Debt Report as at Period 9 2018/19**

	<b>Sundry Debtors</b>	<b>Council Tax</b>	<b>NNDR</b>	<b>Total Aged Debt</b>
<b>Year</b>	<b>2018 £'000</b>	<b>2018 £'000</b>	<b>2018 £'000</b>	<b>2018 £'000</b>
2017/2018	2,122	3,008	970	6,101
2016/2017	997	2,339	364	3,700
2015/2016	1,063	1,742	188	2,992
2014/2015	362	1,667	92	2,121
2013/2014	379	1,402	81	1,863
2012/2013	145	1,084	58	1,287
2011/2012	79	912	36	1,027
2010/2011	63	775	10	848
2009/2010	36	701	2	739
2008/2009	235	720	13	968
2007/2008	21	592	3	616
2006/2007	2	515	3	519
2005/2006	1	300	8	308
2004/2005	0	186	3	189
2003/2004	15	82	2	98
2002/2003	14	59	2	75
2001/2002	0	30	0	30
2000/2001	0	5	0	5
	<b>5,535</b>	<b>16,116</b>	<b>1,835</b>	<b>23,486</b>

**Corporate Management Financial Monitoring 2018/19**  
**Period 9 (1<sup>st</sup> April to 31<sup>st</sup> December 2018)**

**Revenue**

**1. Overview**

The projected outturn for Corporate Management is breakeven, which can be further analysed as follows:

	Annual Target Budget £000	Projected Outturn £000	Projected (Surplus) / Deficit £000
<b>Gross Expenditure</b>	951	951	<b>0</b>
<b>Gross Income</b>	(620)	(620)	<b>0</b>
<b>Net Expenditure</b>	<b>331</b>	<b>331</b>	<b>0</b>
Contribution to / (Use of) Reserves			0
(Use of) Corporate Resources			0
Revenue Contribution to Capital (RCCO)			0
<b>Adjusted Variance</b>			<b>0</b>
Carried Forward Previously Approved			0
<b>Net Variance</b>			<b>0</b>

Further details of the projected outturn position can be found in Appendix F1.

**2. Available Target Resources**

Target budget resources available to Corporate Management as at period 9 are £0.331m. There have been the following amendments since the previously reported monitoring.

There have been no additional specific grants received by the service since the previously reported monitoring.

### **3. Virements within the Service**

There have been no virements of over £0.250m or 1% of the approved gross expenditure budget between sub divisions within Corporate Management since the previously reported monitoring.

### **4. Central Items**

The service does not have responsibility for the management of any Central Items there is no Appendix F2.

### **5. Earmarked Reserves**

Corporate Management has not set aside any earmarked reserves for use on specific activities in current and future years.

### **6. Severance Payments**

No severance payment costs have been incurred by Corporate Management to date, and none are expected during 2018/19.

### **7. Use of Corporate Resources**

It is not anticipated that Corporate Management will call on corporate resources during 2018/19.

## **Capital**

### **8. Overview**

The total capital resources available to Corporate Management as at period 9 are £0.009m. There have been no amendments made since the previously reported monitoring.

### **9. Virements**

There have been no virements between capital schemes during the period.

**10. Projected Capital Outturn**

The projected capital outturn for Corporate Management is to breakeven.

Further details of the capital programme for the directorate can be found in Appendix F3.

**11. Section 106 Monies**

Corporate Management has no responsibility for Section 106 monies, there is no Appendix F4 for this service.

Contact

Carl Burke

Acting Strategic Finance Manager

0121 569 3509

Corporate Management	Appendix F1 Revenue Monitoring		
Area	Annual Target Budget	Projected Outturn	Projected Variance (Surplus) / Deficit
	£'000	£'000	£'000
Chief Executive	253	253	0
Combined Authority	611	611	0
Corporate Management	(533)	(533)	0
<b>Total Net Expenditure</b>	<b>331</b>	<b>331</b>	<b>0</b>
Contribution to / (Use of) Reserves			0
(Use of) Corporate Resources			0
Revenue Contribution to Capital (RCCO)			0
<b>Adjusted Variance</b>			<b>0</b>
Carried Forward Previously Approved			0
<b>Net Variance</b>			<b>0</b>
Subjective Analysis	Annual Target Budget	Projected Outturn	Projected Variance (Surplus) / Deficit
	£'000	£'000	£'000
Employees	188	188	0
Premises	0	0	0
Transport	10	10	0
Supplies & Services	666	666	0
Third Party Payments	0	0	0
Transfer Payments	0	0	0
Capital Charges	87	87	0
<b>Gross Expenditure</b>	<b>951</b>	<b>951</b>	<b>0</b>
Specific Grants	0	0	0
Other Grants & Contributions	0	0	0
Fees & Charges	0	0	0
Recharges in Target	(620)	(620)	0
Other Income	0	0	0
<b>Gross Income</b>	<b>(620)</b>	<b>(620)</b>	<b>0</b>
<b>Total Net Expenditure</b>	<b>331</b>	<b>331</b>	<b>0</b>

Corporate Management							Appendix F3 Capital Monitoring			
	Original Budget 2018/19 (Main Programme)	Additional Approvals / Adjustments	Re-Profile to Future Years	Revised Budget 2018/19 (Main Programme)	Self Financing	Total Budget 2018/19	2018/19			(Surplus) / Deficit for the Year
	£	£	£	£	£	£	Actual Spend to Date £	Remaining Spend £	Total Forecast Expenditure £	£
<b>Thematic Pot Allocations</b>										
3rd Floor Providence Place	9,000	0	0	9,000	0	9,000	90	8,910	9,000	0
<b>Total</b>	<b>9,000</b>	<b>0</b>	<b>0</b>	<b>9,000</b>	<b>0</b>	<b>9,000</b>	<b>90</b>	<b>8,910</b>	<b>9,000</b>	<b>0</b>

**Resources Financial Monitoring 2018/19**  
**Period 9 (1<sup>st</sup> April to 31<sup>st</sup> December 2018)**

**Revenue**

**1. Overview**

The projected outturn for Resources is a surplus of £1.656m, which can be further analysed as follows:

	Annual Target Budget £000	Projected Outturn £000	Projected (Surplus) / Deficit £000
<b>Gross Expenditure</b>	39,787	42,869	<b>3,082</b>
<b>Gross Income</b>	<b>(22,281)</b>	<b>(24,067)</b>	<b>(1,786)</b>
<b>Net Expenditure</b>	<b>17,506</b>	<b>18,802</b>	<b>1,296</b>
Contribution to / (Use of) Reserves			0
(Use of) Corporate Resources			<b>(3,186)</b>
Revenue Contribution to Capital (RCCO)			0
<b>Adjusted Variance</b>			<b>(1,890)</b>
Carried Forward Previously Approved			234
<b>Net Variance</b>			<b>(1,656)</b>

It should be noted that the 2018/19 budget includes one-off resources of £0.910m brought forward from previous years.

Further details of the projected outturn position can be found in Appendix G1. The table below outlines the main reasons for the projected surplus of £1.656m:



Reasons for Surplus/Deficit	£000
Prior year Carry Forward for various Projects have not been utilised as projects have been delayed. Some will form part of the carry forward request	(699)
Increased income received from government grants and recovered costs for outstanding Council Tax, NNDR and Housing Benefits	(827)
Increased income from Council Tax court fees and penalties.	(233)
Increase in ICT income due to increase in demand for printing.	(180)
ICT Projects forecast to complete in 2018-19 were delayed and will now complete in 2018/19. These form part of the carry forward requests.	(167)
Additional employee costs within Legal due to increased demand on the service.	450
<b>Total</b>	<b>(1,656)</b>

The projected net surplus is requested to be treated as follows:

Requested Treatment	£000
Carry Forward to 2019/20 to fund planned leavers beyond deletion of posts	124
Carry Forward to 2019/20 & 2020/21 to fund 2 Higher Level Apprentices	96
Request reprofiling of prior year carry forwards due to delay	184
Carry Forward to 2019/20, 2020/21 and 2021/22 to fund Microsoft Server & Cloud enrolment	500
Carry Forward to 2019/20 to fund Oracle Licensing and various ICT projects	691
To be allocated - further details to be provided in outturn report.	61
<b>Total</b>	<b>1,656</b>

## 2. Available Target Resources

Target budget resources available to Resources as at period 9 are £17.506m. This figure reflects the following amendments that have been made since the previously reported monitoring:

	£000
<b>Target Budget as per Period 6 Monitoring 2018/19</b>	<b>17,174</b>
<b>Target Adjustments -</b>	
Capital Charge Adjustment	332
<b>Revised Target Budget P09 2018/19</b>	<b>17,506</b>

The service has received one additional specific grant since the previously reported monitoring. This is a grant for Verify Earnings and Pension Alters service to the value of £0.090m.

### **3. Virements within the Service**

There have been no virements of over £0.250m/1% of the approved Gross Expenditure budget between sub divisions of Resources since the previously reported monitoring.

### **4. Central Items**

The service has responsibility for the management of a number of Central Items that are detailed in Appendix G2.

The projected outturn for these items is a surplus of £0.402m. This surplus will be added to corporate balances. The following table outlines the main reasons for this projected surplus:

Reasons for Surplus/Deficit	£000
Historic pension liabilities have reduced due to a reduction in the number of past employees receiving pension payments	(180)
Increase in the Business Rates Compensation Grant allocated to Sandwell MBC in 2018/19	(461)
A reduction in the use of the Templink Agency although steps are being taken to mitigate this	239
<b>Total</b>	<b>(402)</b>

A budget amendment is requested to transfer £0.366m from Resources to Central Items. This amendment is to reflect;

- Expenditure relating to Public Law Fees when Looked After Children cases are taken to court which is deemed to be uncontrollable expenditure. The budget for this expenditure is £0.300m.
- Expenditure relating to external Counsel Fees, paternity tests and other expenses associated with safeguarding children. The budget for this expenditure is £0.066m.

## **5. Earmarked Reserves**

The service has set aside sums totalling £14.215m in previous years as earmarked reserves for use on specific activities in current and future years. The service estimated that it would utilise £0.152m of earmarked reserves during the current year; the projected outturn is that this amount will be fully utilised as detailed in the following table:

<b>Earmarked Reserve</b>	<b>Balance as at 31 March 2018 £000</b>	<b>Forecast Expenditure 2018/19 £000</b>	<b>Remaining Balance 31st March 2019 £000</b>
Insurance Reserve	9,608	0	9,608
Grants Irregularities Reserve	1,031	0	1,031
Social Fund Reserve	152	152	0
NDR Reserve	2,625	0	2,625
P.O.C.A. (Proceeds of Crime)	29	0	29
Sandwell Children's Trust	770	0	770
<b>Total</b>	<b>14,215</b>	<b>152</b>	<b>14,063</b>

### **Insurance Reserve**

This reserve relates to the Councils' Self Insurance Fund, linked to the Insurance Pool Position Statement. The balance is reviewed in relation to liabilities and the forward plan, it is not currently anticipated that the reserve will be required in 2018/19.

### **Grants Irregularities Reserve**

The Strategic Investment Unit are a grant giving body for a number of voluntary sector organisations and this reserve was set up to safeguard against the possible costs relating to these grants. It is not anticipated that the reserve will be required therefore the reserve has been redesignated for the replacement of the E- Business financial suite

**Social Fund Reserve**

Surplus Local Welfare Provision Grant has been transferred to reserve to allow the Local Welfare Provision Service to continue after funding ceased. It is anticipated that the reserve will be fully utilised during 2018/19.

**NDR Reserve**

The 2013/14 Section 31 Small Business Rate Relief Grant was transferred to reserve to offset potential future pressures arising from the new accounting arrangement for NDR. It is not anticipated that the reserve will be required therefore the reserve has been redesignated for the replacement of the E- Business financial suite.

**P.O.C.A. (Proceeds of Crime)**

Monies received from successful Proceeds of Crime Act prosecutions are transferred to reserve to provide funding for future fraud related projects, investigations and prosecutions. It is not anticipated that the reserve will be required in 2018/19.

**Sandwell Children's Trust**

This reserve has been created to fund the set-up and one-off costs relating to the establishment and running of the Sandwell Children's Trust. It is not anticipated that the reserve will be required in 2018/19.

**6. Severance Payments**

In 2017/18 a provision of £0.933m was created for severance payment costs, of which £0.455m has been incurred to date. It is anticipated that the remaining provision will be fully utilised in 2018/19.

Additional severance payment costs of £0.202m not included in the 2017/18 provision have been incurred to date, with no further costs expected during 2018/19.

The table below summarises the position:

	Actual to Date	Remaining Costs	Forecast Outturn	Total Provision created 2017/18	Net in year Expenditure
	£000	£000	£000	£000	£000
Provision	455	478	933	933	0
Costs not provided for	202	0	202	0	202
<b>Total</b>	<b>657</b>	<b>478</b>	<b>1,135</b>	<b>933</b>	<b>202</b>
<b>Funded by:</b>					
Directorate					202
Corporate Resources					0
<b>Total Funding</b>					<b>202</b>

## **7. Use of Corporate Resources**

Projected expenditure of £3.186m is requested to be funded from corporate resources. This is to cover the cost of;

- Interim Support to Monitoring Officer – £0.170m, this has already been agreed and is part of existing ear-marked balances. This is an increase of £0.030m since the previous reported monitoring;
- Corporate Legal charges – £0.200m, this has already been agreed and is part of existing ear-marked balance;
- the Graduate Leader Schemes £0.440m, this has already been agreed and is part of existing ear-marked balances.;
- Oracle Licensing £0.707m, this has already been agreed and is part of existing ear-marked balances;
- ICT Infrastructure Support £1.338m, this has already been agreed and is part of existing ear-marked balances;
- Digital Transformation – £0.331m, this has already been agreed and is part of existing ear-marked balances. This is an increase of £0.027m since the previous reported monitoring;

## **8. Housing Revenue Account**

Resources has responsibility for managing functions that are required to be charged to the Housing Revenue Account rather than the Council's General Fund. The current and forecast position on these HRA related services are shown within the separate HRA report. Any cross subsidisation between the General Fund and HRA is not permitted.

**Capital****9. Overview**

The total capital resources available to Resources as at Period 9 are £1.242m. The following amendments have been made since the previously reported monitoring:

	£000
<b>Capital Budget as per P6 monitoring 2018/19</b>	<b>1,640</b>
<b><u>Main Programme</u></b>	
ICT End User Computing 2 - slippage in 2019/20 due to procurement delays on equipment purchases and consultancy costs.	(300)
<b><u>Prudential Borrowing</u></b>	
Public Realm - Dartmouth Park - slippage into 2019/20	(15)
<b><u>Thematic Pot Allocations</u></b>	
Health & Safety - slippage into 2019/20	(50)
<b><u>Grants/Self Financing</u></b>	
Public Realm - General - slippage into 2019/20	(33)
<b>Revised Capital Budget P09 2018/19</b>	<b>1,242</b>

**10. Virements**

There have been no virements between capital schemes during the period.

**11. Projected Capital Outturn**

The projected capital outturn for Resources is to breakeven.

Further details of the capital programme for the directorate can be found in Appendix G3.

**12. Section 106 Monies**

Resources has no responsibility for Section 106 monies, there is no Appendix G4 for this service.

Contact

Steve Lilley

Business Partner - Finance

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## Appendix G Resources

Resources	Appendix G1 Revenue Monitoring		
Area	Annual Target Budget	Projected Outturn	Projected Variance (Surplus) / Deficit
	£'000	£'000	£'000
Directorate	377	412	35
Change and Communications	1,343	1,289	(54)
Revenues and Benefits and ICT	8,134	7,808	(326)
Finance	3,538	3,713	175
Law and Governance	500	1,725	1,225
Human Resources	3,614	3,855	241
<b>Total Net Expenditure</b>	<b>17,506</b>	<b>18,802</b>	<b>1,296</b>
Contribution to / (Use of) Reserves			0
(Use of) Corporate Resources			(3,186)
Revenue Contribution to Capital (RCCO)			0
<b>Adjusted Variance</b>			<b>(1,890)</b>
Carried Forward Previously Approved			234
<b>Net Variance</b>			<b>(1,656)</b>
Subjective Analysis	Annual Target Budget	Projected Outturn	Projected Variance (Surplus) / Deficit
	£'000	£'000	£'000
Employees	27,183	28,031	848
Premises	850	505	(345)
Transport	124	126	2
Supplies & Services	9,083	11,210	2,127
Third Party Payments	977	1,427	450
Transfer Payments	0	0	0
Capital Charges	1,570	1,570	0
<b>Gross Expenditure</b>	<b>39,787</b>	<b>42,869</b>	<b>3,082</b>
Specific Grants	(2,721)	(2,893)	(172)
Other Grants & Contributions	(900)	(1,555)	(655)
Fees & Charges	(9,754)	(10,410)	(656)
Recharges in Target	(8,906)	(9,209)	(303)
Other Income	0	0	0
<b>Gross Income</b>	<b>(22,281)</b>	<b>(24,067)</b>	<b>(1,786)</b>
<b>Total Net Expenditure</b>	<b>17,506</b>	<b>18,802</b>	<b>1,296</b>



Appendix G Resources

Resources	Appendix G2 Central Items		
Area	Annual Target Budget	Projected Outturn	Projected Variance (Surplus) / Deficit
	£'000	£'000	£'000
Local Authority Subs	85	85	0
W'ton: WMCC & WMRE	45	37	(8)
Joint Committee Servicing	19	19	0
External Audit Fee	180	194	14
New Homes Bonus Grant	(3,593)	(3,598)	(6)
Business Rates Compensation Grant	(11,633)	(12,094)	(461)
Insurance	(395)	(395)	0
Bank Charges	225	235	10
Airport Rent Income	(100)	(100)	0
Apprenticeship Levy	480	442	(38)
Past Service Pension Costs	8,600	8,436	(164)
Housing Benefits	501	423	(78)
Local Welfare Provision	0	237	237
Pensions General	4,729	4,548	(181)
Coroners	335	335	0
Members Allowances	1,351	1,351	0
Special Events	25	5	(20)
Templink	(429)	100	529
Savings Target	0	0	0
<b>Total Net Expenditure</b>	<b>425</b>	<b>260</b>	<b>(165)</b>
Contribution to / (Use of) Reserves			(85)
(Use of) Corporate Resources			(152)
<b>Adjusted Net Variance</b>			<b>(402)</b>

## Appendix G Resources

Resources	Appendix G2 Central Items		
Subjective Analysis	Annual Target Budget	Projected Outturn	Projected Variance (Surplus) / Deficit
	£'000	£'000	£'000
Employees	21,413	21,030	(383)
Premises	35	35	0
Transport	1	1	0
Supplies & Services	4,090	4,323	233
Third Party Payments	19	19	0
Transfer Payments	121,038	120,960	(78)
Capital Charges	0	0	0
<b>Gross Expenditure</b>	<b>146,597</b>	<b>146,369</b>	<b>(228)</b>
Specific Grants	(135,762)	(136,229)	(467)
Other Grants & Contributions	(4,135)	(4,135)	0
Fees & Charges	(1,095)	(1,095)	0
Recharges in Target	(5,164)	(4,635)	529
Other Income	(15)	(15)	0
<b>Gross Income</b>	<b>(146,171)</b>	<b>(146,109)</b>	<b>63</b>
<b>Total Net Expenditure</b>	<b>425</b>	<b>260</b>	<b>(165)</b>

## Appendix G Resources

Resources	Appendix G3 Capital Monitoring									
	Original Budget 2018/19 (Main Programme)	Additional Approvals / Adjustments	Re-Profile to Future Years	Revised Budget 2018/19 (Main Programme)	Self Financing	Total Budget 2018/19	2018/19			(Surplus) / Deficit for the Year
							Actual Spend to Date	Remaining Spend	Total Forecast Expenditure	
£	£	£	£	£	£	£	£	£	£	
<b>Main Programme</b>						0				
Sandwell Business Services : Development	50,000	0	0	50,000	0	50,000	6,005	43,995	50,000	0
ICT End User Computing 2	0	1,200,000	(300,000)	900,000	0	900,000	381,947	518,053	900,000	0
<b>RCCO</b>										
ICT Infrastructure	120,000	0	0	120,000	0	120,000	120,000	0	120,000	0
ICT Strategy - ICT Equipment	152,000	0	0	152,000	0	152,000	152,000	0	152,000	0
Sandwell Valley Catering Facility	12,000	0	0	12,000	0	12,000	0	12,000	12,000	0
<b>Prudential Borrowing</b>										
Local Authority Mortgage Scheme	1,863,000	0	(1,863,000)	0	0	0	0	0	0	0
Public Realm - Living Landscapes - Dartmouth Park	15,000	0	(15,000)	0	0	0	0	0	0	0
<b>Thematic Pot Allocations</b>										
Health & Safety	50,000	0	(50,000)	0	0	0	0	0	0	0
ICON Project - PC's	8,000	0	0	8,000	0	8,000	8,000	0	8,000	0
<b>Grants / Self Financing</b>										
Public Realm - General	0	0	0	0	0	0	0	0	0	0
<b>Section 106</b>										
						0		0	0	0
<b>Total</b>	<b>2,270,000</b>	<b>1,200,000</b>	<b>(2,228,000)</b>	<b>1,242,000</b>	<b>0</b>	<b>1,242,000</b>	<b>667,952</b>	<b>574,048</b>	<b>1,242,000</b>	<b>0</b>

**Adult Social Care Financial Monitoring 2018/19**  
**Period 9 (1<sup>st</sup> April to 31<sup>st</sup> December)**

**Revenue**

**1. Overview**

The projected outturn for Adult Social Care is a surplus of £1.640m, which can be further analysed as follows:

	Annual Target Budget £000	Projected Outturn £000	Projected (Surplus) / Deficit £000
<b>Gross Expenditure</b>	190,555	185,614	<b>(4,941)</b>
<b>Gross Income</b>	<b>(107,543)</b>	<b>(108,514)</b>	<b>(971)</b>
<b>Net Expenditure</b>	<b>83,012</b>	<b>77,100</b>	<b>(5,912)</b>
Contribution to / (Use of) Reserves			0
(Use of) Corporate Resources			0
Revenue Contribution to Capital (RCCO)			0
<b>Adjusted Variance</b>			<b>(5,912)</b>
Carried Forward Previously Approved			4,272
<b>Net Variance</b>			<b>(1,640)</b>

It should be noted that the 2018/19 budget includes one-off resources of £5.512m brought forward from previous years.

Further details of the projected outturn position can be found in Appendix H1. The following table outlines the main reasons for the projected variance:

Reasons for Surplus/Deficit	£000
<b>Business Strategy</b> - vacancies held pending a service restructures, the number of unfilled posts is reducing	(83)
<b>Direct Services &amp; Commissioning</b> - variations within the volume based Supporting People contracts. carers direct payments and in-house Learning Disability services.	(195)
<b>External Placements</b> - Utilisation of the new winter pressures grant to offset pressures within Mental Health Services and community placements	(279)
<b>Prevention</b> - Utilisation of the new winter pressures grant to offset additional demand for community equipment.	(158)
<b>Integrated Care Hub</b> - Vacancies held pending a service review resulting from the implementation of an new scheduling system	(925)
<b>Total</b>	<b>(1,640)</b>

The projected net surplus is requested to be treated as follows:

Requested Treatment	£000
Forecast surplus be carried forward to 2021/22 to assist in the management of projected budget pressures	1,640
<b>Total</b>	<b>1,640</b>

## 2. Available Target Resources

The target budget resources available to Adult Social Care as at period 9 are £83.012m. This figure reflects the following amendments that have been made since the previously reported monitoring:

	£000
<b>Approved Target Budget/Target Budget as per P06 Budget Monitoring</b>	<b>88,995</b>
<b>Target Adjustments -</b>	
Transfer of IBCF funding to Capital	(6,000)
Transfer of Passenger Transport Unit (PTU) from Children's Service	904
Capital Charges adjustment	(887)
<b>Revised Target Budget</b>	<b>83,012</b>

During Quarter 3 Adult Social Care received an additional grant of £1.847m. The Winter Pressures Grant (£240m nationally) has been issued to assist the Health and Social Care system manage additional demand for services over the winter period and to minimise delayed transfers from hospital.

### **3. Virements within the Service**

No virements of over £0.250m/1% of the approved Gross Expenditure budget between sub divisions within Adult Social Care have been processed since the previously reported monitoring:

### **4. Central Items**

As Adult Social Care does not have responsibility for the management of Central Items there is no Appendix H2 for this service.

### **5. Earmarked Reserves**

The service has set aside sums totalling £1.151m in previous years as earmarked reserves for use on specific activities in current and future years. The service does not anticipate utilising these reserves during the current year as detailed in the following table:

<b>Earmarked Reserve</b>	<b>Balance as at 31 March 2018 £000</b>	<b>Forecast Expenditure 2018/19 £000</b>	<b>Remaining Balance 31st March 2019 £000</b>
Taxi Licensing	104	0	104
Adult Social Care	1,047	0	1,047
<b>Total</b>	<b>1,151</b>	<b>0</b>	<b>1,151</b>

The Taxi Licensing reserve has been earmarked to fund improvements to the service, linked to accommodation and ICT issues.

The Adult Social Care reserve will be used to temporarily manage the impact of ongoing budget reductions. The use of surpluses brought

forward and access to additional Better Care Funding have reduced the likely call upon the reserve in this financial year.

## **6. Severance Payments**

In 2017/18 a provision of £0.097m was created for severance payment costs, of which £0.097m has been incurred to date.

Additional severance payment costs of £0.041m not included in the 2017/18 provision have been incurred to date, with no further costs expected during 2018/19. It is anticipated that all the additional costs will be met by the service area.

The table below summarises the position:

	Actual to Date	Remaining Costs	Forecast Outturn	Total Provision created 2017/18	Net in year Expenditure
	£000	£000	£000	£000	£000
Provision	97	0	97	97	0
Costs not provided for	41	0	41	0	41
<b>Total</b>	<b>138</b>	<b>0</b>	<b>138</b>	<b>97</b>	<b>41</b>
<b>Funded by:</b>					
Directorate					41
Corporate Resources					0
<b>Total Funding</b>					<b>41</b>

## **7. Use of Corporate Resources**

It is not anticipated that Adult Social Care will call upon corporate resources during 2018/19.

## **Capital**

### **8. Overview**

The total capital resources available to Adult Social Care as at period 9 are £2.917m. This reflects the following amendments that have been made since the previously reported monitoring.

	£000
<b>Capital Budget as per P06 Budget Monitoring</b>	<b>7,144</b>
<b>RCCO</b>	
Swift Impress System - slippage of resources into 2019/20	(227)
<b>Grants / Self Financing</b>	
Grants Private Sector – Disabled Facilities Grant – slippage of resources into 2019/20 to continue disabled adaptations at various premises within the Borough.	(4,000)
<b>Revised Capital Budget</b>	<b>2,917</b>

## 9. Virements

There have been no virements between capital schemes during the period.

## 10. Projected Capital Outturn

The projected capital outturn for Adult Social Care is to breakeven.

Further details of the capital programme for the directorate can be found in Appendix H3.

## 11. Section 106 Monies

As Adult Social Care has no responsibility for section 106 monies, there is no Appendix H4 for this service.

### Contact

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Finance Business Partner  
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Adult Social Care		Appendix H1 Revenue Monitoring		
Area	Annual Target Budget	Projected Outturn	Projected Variance (Surplus) / Deficit	
	£'000	£'000	£'000	
Management Team	1,411	1,401	(10)	
Business Strategy	3,371	2,995	(376)	
Commissioning Support Unit	1,923	1,653	(270)	
External Placements	60,888	56,853	(4,035)	
Social Work Teams	5,731	5,932	201	
Therapy and Sensory Services	222	80	(142)	
Better Care Fund	(6,000)	(6,000)	0	
Prevention	555	367	(188)	
Direct Services and Commissioning	9,568	8,998	(570)	
Integrated Care Hub	568	(357)	(925)	
Protection	4,775	5,178	403	
<b>Total Net Expenditure</b>	<b>83,012</b>	<b>77,100</b>	<b>(5,912)</b>	
Contribution to / (Use of) Reserves			0	
(Use of) Corporate Resources			0	
Revenue Contribution to Capital (RCCO)			0	
<b>Adjusted Variance</b>			<b>(5,912)</b>	
Carried Forward Previously Approved			4,272	
<b>Net Variance</b>			<b>(1,640)</b>	
Subjective Analysis	Annual Target Budget	Projected Outturn	Projected Variance (Surplus) / Deficit	
	£'000	£'000	£'000	
Employees	33,852	33,859	7	
Premises	1,201	1,037	(164)	
Transport	713	654	(59)	
Supplies & Services	37,891	36,927	(964)	
Third Party Payments	98,736	96,670	(2,066)	
Transfer Payments	10,504	8,809	(1,695)	
Capital Charges	7,658	7,658	0	
<b>Gross Expenditure</b>	<b>190,555</b>	<b>185,614</b>	<b>(4,941)</b>	
Specific Grants	(20,612)	(20,612)	0	
Other Grants & Contributions	(29,677)	(30,039)	(362)	
Fees & Charges	(16,352)	(16,926)	(574)	
Recharges in Target	(6,507)	(6,507)	0	
Other Income	(34,395)	(34,430)	(35)	
<b>Gross Income</b>	<b>(107,543)</b>	<b>(108,514)</b>	<b>(971)</b>	
<b>Total Net Expenditure</b>	<b>49 83,012</b>	<b>77,100</b>	<b>(5,912)</b>	

Adult Social Care							Appendix H3 Capital Monitoring			
	Original Budget 2018/19 (Main Programme)	Additional Approvals / Adjustments	Re-Profile to Future Years	Revised Budget 2018/19 (Main Programme)	Self Financing	Total Budget 2018/19	2018/19			(Surplus) / Deficit for the Year
							Actual Spend to Date	Remaining Spend	Total Forecast Expenditure	
	£	£	£	£	£	£	£	£	£	£
<b>Main Programme</b>										
Extra Care Development	714,000	0	(714,000)	0	0	0		0	0	0
Vulnerable Home Owners Improvements - HMRA Receipts	384,000	0	(334,000)	50,000	0	50,000	15,063	34,937	50,000	0
Empty Properties	790,000	0	(690,000)	100,000	0	100,000	75,154	24,846	100,000	0
Housing Stock Condition Survey - Private Sector	29,000	0	0	29,000	0	29,000	0	29,000	29,000	0
<b>RCCO</b>										
Swift Impress System (Earmarked Revenue Balance)	317,000	0	(227,000)	90,000	0	90,000	29,826	60,174	90,000	0
				0		0		0	0	0
<b>Thematic Pot Allocations</b>										
Thematic - Disability Day	9,000	0	0	9,000	0	9,000	675	8,325	9,000	0
10 St Michaels Street	1,000	0	(1,000)	0	0	0		0	0	0
<b>Grants / Self Financing</b>										
ILC Alterations	0	0	0	0	80,000	80,000	0	80,000	80,000	0
Grants: Private Sector (Disabled Facilities Grant) Mandatory	0	0	0	0	2,499,000	2,499,000	1,927,536	571,464	2,499,000	0
Contaminated Land - Landfill Gas	0	0	0	0	20,000	20,000	4,890	15,110	20,000	0
Air Quality Monitoring	0	0	0	0	32,000	32,000	21,003	10,997	32,000	0
Warm Homes Healthy People	0	0	0	0	8,000	8,000	0	8,000	8,000	0



**Regeneration and Growth Financial Monitoring 2018/19**  
**Period 9 (1<sup>st</sup> April to 31st December 2018)**

**Revenue**

**1. Overview**

The projected outturn for Regeneration and Growth is a balanced budget which can be further analysed as follows:

	<b>Annual Target Budget £000</b>	<b>Projected Outturn £000</b>	<b>Projected (Surplus) / Deficit £000</b>
<b>Gross Expenditure</b>	51,367	52,457	<b>1,090</b>
<b>Gross Income</b>	(26,870)	(26,954)	<b>(84)</b>
<b>Net Expenditure</b>	<b>24,497</b>	<b>25,503</b>	<b>1,006</b>
Contribution to / (Use of) Reserves			216
(Use of) Corporate Resources			(1,455)
Revenue Contribution to Capital (RCCO)			0
<b>Adjusted Variance</b>			<b>(233)</b>
Carried Forward Previously Approved			233
<b>Net Variance</b>			<b>0</b>

It should be noted that the 2018/19 budget includes one-off resources of £2.927m brought forward from previous years.

Further details of the projected outturn position can be found in Appendix I1.

The following table outlines the main reasons for the projected balanced budget position:

## Appendix I Regeneration and Growth

Reasons for Surplus/Deficit	£000
Strategic Policy - slippage in planned viability and assessment studies to encourage growth and development	(100)
Economic Regeneration - vacancies prior to planned restructuring	(140)
Strategic Transportation - vacancies prior to recruitment	(71)
Planning Services - largely vacancies pending planned restructuring	(63)
Markets - predominantly rental income below budget. Relates largely to West Bromwich indoor market and will be affected by continued agreed rent concessions	350
Facilities Management - reduced premises insurance costs and rates refund	(70)
Property Maintenance Account - impact of emergency repairs	100
Property Services - lease revaluations impact	120
Car Parking - estimated additional income above budget	(150)
Highways - largely planned replacement programme of street light columns has progressed slower than anticipated	(150)
Black Country Consortium - agreed contribution below budget	(39)
Agreed carry forward of resources into 2019/20	233
Other - net	(20)
<b>Total</b>	<b>0</b>

## 2. Available Target Resources

Target budget resources available to Regeneration and Growth as at period 9 are £24.497m. This figure reflects the following amendments that have been made since the last reported monitoring:

	£000
<b>Approved Target Budget at Period 6</b>	<b>24,408</b>
<b>Target Adjustments -</b>	
Capital financing charges	89
<b>Revised Target Budget</b>	<b>24,497</b>

No additional specific grants have been received since the last reported monitoring.

The council has received £0.892m of One Public Estate Grant which it is holding pending agreement on the organisation responsible as the Accountable Body. This responsibility has been proposed to be

transferred to the West Midlands Combined Authority and we are now awaiting formal notification of this decision. The grant is not reflected in this monitoring.

### **3. Virements within the Service**

No virements of over £0.250m /1% of the approved gross expenditure budget have been processed between sub divisions within Regeneration & Growth since the last reported monitoring period.

### **4. Central Items**

The service has responsibility for the management of one Central Item that is detailed in Appendix I2.

The projected outturn for this is a surplus of £0.190m. The intention is to transfer this surplus to an ear-marked provision for potential future costs.

### **5. Earmarked Reserves**

The service has set aside sums totalling £1.181m in previous years as earmarked reserves for use on specific activities. The service estimates making a net increase to these reserves during the current year as detailed in the following table:

<b>Earmarked Reserve</b>	<b>Balance as at 31 March 2018 £000</b>	<b>Forecast Expenditure 2018/19 £000</b>	<b>Remaining Balance 31st March 2019 £000</b>
Sandwell Business Loans Fund	16	0	16
West Midlands Regional Research	329	84	245
Sinking Fund RBC building	338	(50)	388
Sinking Fund Central 6th building	498	(250)	748
<b>Total</b>	<b>1,181</b>	<b>(216)</b>	<b>1,397</b>

- The Sandwell Business Loans Fund has provided past support but no current loans are pending;
- The West Midlands Regional Housing Research budget is held by Sandwell on behalf of all West Midlands authorities and is expected to continue for the foreseeable future;
- The two sinking funds relating to specific buildings are being built up to fund any major repairs that may become necessary in future years.

## 6. Severance Payments

In 2017/18 a provision of £0.508m was created for severance payment costs, of which £0.325m of expenditure has been incurred to date. It is anticipated that the remaining provision will be fully utilised in 2018/19.

Additional severance payment costs of £0.091m not included in the 2017/18 provision have been incurred to date, with no further costs expected during 2018/19. It is intended to fund the £0.091m costs from the service area.

The table below summarises the position:

	Actual to Date	Remaining Costs	Forecast Outturn	Total Provision created 2017/18	Net in year Expenditure
	£000	£000	£000	£000	£000
Provision	325	220	545	508	37
Costs not provided for	54	0	54	0	54
<b>Total</b>	<b>379</b>	<b>220</b>	<b>599</b>	<b>508</b>	<b>91</b>
<b>Funded by:</b>					
Directorate					91
Corporate Resources					0
<b>Total Funding</b>					<b>91</b>

## 7. Use of Corporate Resources

Projected expenditure of £1.455m is requested to be funded from corporate resources, broken down as follows:

- £1.455m relating to planned building demolitions, this has already been agreed and is part of existing ear-marked balances;

## 8. Housing Revenue Account

Regeneration & Growth has responsibility for managing functions that are required to be charged to the Housing Revenue Account rather than the Council's General Fund. The current and forecast position on these HRA related services are shown within the separate HRA report. Cross subsidisation between the General Fund and HRA is not permitted.

**Capital****9. Overview**

The total capital resources available to Regeneration & Growth as at period 9 are £9.209m. This reflects the following amendments that have been made since the previously reported monitoring.

	<b>£000</b>
<b>Capital Budget as per P6 Budget Monitoring 2018/19</b>	<b>11,188</b>
<b><u>Main Programme</u></b>	
Soldiers of India monument	145
Access Fund - slippage of resources into 19/20 to continue the programme of disabled adaptations at various council premises within the borough	(196)
<b><u>RCCO</u></b>	
Birchley Island - slippage of resources into 19/20 to continue to advance the scheme	(248)
<b><u>Grants/Self Financing</u></b>	
Flood & Coastal Erosion Risk Management Grant - Thimblemill Brook slippage of resources into 19/20	(400)
<b><u>Section 106</u></b>	
A41 Expressway / A4031 All Saints Way Junction - slippage of resources into 19/20 to continue various works at Carters Green	(700)
<b><u>Regional Housing Board Allocations</u></b>	
Carrington Road Shops Demolition - slippage of resources into 2019/20	(580)
<b>Revised Capital Budget</b>	<b>9,209</b>

**Virements**

There have been no virements between capital schemes during the period.

**Projected Capital Outturn**

The projected capital outturn for Regeneration & Growth is to breakeven. Further details of the capital programme for the directorate can be found in Appendix I3.



**Section 106 Monies**

The projected capital outturn includes a number of schemes that are funded from Section 106 monies.

Total projected spend for these projects as at period 9 is £0.509m and details are provided in Appendix I4.

**Community Infrastructure Levy**

The Council has received £1.206m to date in respect of Community Infrastructure Levy monies from Private Developers. These monies are currently being looked at with a view to where they can be spent.

The projected capital outturn for Regeneration & Growth is to breakeven.

**Contact**

Charlie Davey

Business Partner - Finance

0121 569 2310

Regeneration & Growth	Appendix I1 Revenue Monitoring		
Area	Annual Target Budget	Projected Outturn	Projected Variance (Surplus) / Deficit
	£'000	£'000	£'000
Growth and Spatial Planning Service	2,353	2,136	(217)
Development Planning and Building Control Service	711	649	(62)
Strategic Assets and Land Service	5,577	7,262	1,685
Highways Services	15,277	14,977	(300)
Management	579	479	(100)
			0
<b>Total Net Expenditure</b>	<b>24,497</b>	<b>25,503</b>	<b>1,006</b>
Contribution to / (Use of) Reserves			216
(Use of) Corporate Resources			(1,455)
Revenue Contribution to Capital (RCCO)			0
<b>Adjusted Variance</b>			<b>(233)</b>
Carried Forward Previously Approved			233
<b>Net Variance</b>			<b>0</b>
Subjective Analysis	Annual Target Budget	Projected Outturn	Projected Variance (Surplus) / Deficit
	£'000	£'000	£'000
Employees	13,252	12,715	(537)
Premises	10,917	13,857	2,940
Transport	247	247	0
Supplies & Services	16,779	15,466	(1,313)
Third Party Payments	30	30	0
Transfer Payments	1	1	0
Capital Charges	10,141	10,141	0
<b>Gross Expenditure</b>	<b>51,367</b>	<b>52,457</b>	<b>1,090</b>
Specific Grants	(268)	(203)	65
Other Grants & Contributions	(572)	(572)	0
Fees & Charges	(16,890)	(17,389)	(499)
Recharges in Target	(9,067)	(8,717)	350
Other Income	(73)	(73)	0
<b>Gross Income</b>	<b>(26,870)</b>	<b>(26,954)</b>	<b>(84)</b>
<b>Total Net Expenditure</b>	<b>24,497</b>	<b>25,503</b>	<b>1,006</b>

Regeneration & Growth	Appendix I2 Central Items		
Area	Annual Target Budget £'000	Projected Outturn £'000	Projected Variance (Surplus) / Deficit £'000
Carbon Reduction - Energy Efficiency	300	110	(190)
<b>Total Net Expenditure</b>	<b>300</b>	<b>110</b>	<b>(190)</b>
Contribution to / (Use of) Reserves			0
(Use of) Corporate Resources			0
<b>Adjusted Net Variance</b>			<b>(190)</b>
Subjective Analysis	Annual Target Budget £'000	Projected Outturn £'000	Projected Variance (Surplus) / Deficit £'000
Employees			0
Premises			0
Transport			0
Supplies & Services	300	110	(190)
Third Party Payments			0
Transfer Payments			0
Capital Charges			0
<b>Gross Expenditure</b>	<b>300</b>	<b>110</b>	<b>(190)</b>
Specific Grants			0
Other Grants & Contributions			0
Fees & Charges			0
Recharges in Target			0
Other Income			0
<b>Gross Income</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Total Net Expenditure</b>	<b>300</b>	<b>110</b>	<b>(190)</b>

Regeneration and Growth							Appendix I3 Capital Monitoring			
	Original Budget 2018/19 (Main Programme)	Additional Approvals / Adjustments	Re-Profile to Future Years	Revised Budget 2018/19 (Main Programme)	Self Financing	Total Budget 2018/19	2018/19			(Surplus) / Deficit for the Year
	£	£	£	£	£	£	Actual Spend to Date £	Remaining Spend £	Total Forecast Expenditure £	£
<b>Main Programme</b>										
West Bromwich Car Parking	581,000	0	-581,000	0	0	0	-	-	-	0
Section 106 Monies - Lyng Lane	15,000	0	0	15,000	0	15,000	-	15,000	15,000	0
Reservoirs Act	20,000	0	0	20,000	0	20,000	6,915	13,085	20,000	0
Birchley Island - 10% Local Contribution	0	0	0	0	0	0	-	-	-	0
College Relocation of Car Parking Costs	14,000	0	0	14,000	0	14,000	-	14,000	14,000	0
West Bromwich Town Square Development	6,000	0	0	6,000	0	6,000	-	6,000	6,000	0
SOHO Foundary	21,000	0	0	21,000	0	21,000	-	21,000	21,000	0
Living Landscapes - Green Bridge P1	16,000	0	0	16,000	0	16,000	-	16,000	16,000	0
Living Landscapes - Green Bridge P2	15,000	0	0	15,000	0	15,000	-	15,000	15,000	0
BSF Schools for the Future	428,000	0	0	428,000	0	428,000	59,302	368,698	428,000	0
Property Refurbishment	1,531,000	0	0	1,531,000	0	1,531,000	695,505	835,495	1,531,000	0
Pothole Fund - Council Pot E240k	0	240,000	0	240,000	0	240,000	240,000	-	240,000	0
Soldiers Of India Monument	0	145,000	0	145,000	0	145,000	145,000	-	145,000	0
Access Fund	503,000	0	-196,000	307,000	0	307,000	204,873	102,127	307,000	0
<b>RCCO</b>										
Birchley Island	248,000	0	-248,000	0	100,000	100,000	26,459	73,541	100,000	0
Black Country City Deal	1,500,000	-1,500,000	0	0	0	0	-	-	-	0
Bear Junction Upgrade	10,000	0	0	10,000	0	10,000	-	10,000	10,000	0
Brindley II	21,000	0	0	21,000	0	21,000	59	20,941	21,000	0
Temporary Transit Site - Gypsy	5,000	0	0	5,000	0	5,000	-	5,000	5,000	0
Smethwick Council House Boiler Replacement	4,000	0	0	4,000	0	4,000	-	4,000	4,000	0
<b>Prudential Borrowing</b>										
Hill Top Demolition	3,000	0	0	3,000	0	3,000	-	3,000	3,000	0
1 Providence Place	2,000	0	0	2,000	0	2,000	-	2,000	2,000	0
Eastern Gateway	1,000	0	0	1,000	0	1,000	1,000	-	1,000	0
<b>Thematic Pot Allocations</b>										
Idox Public Access & Consultee Access Modules	7,000	0	0	7,000	0	7,000	-	7,000	7,000	0
H&S Compliance with Construction	8,000	0	0	8,000	0	8,000	-	8,000	8,000	0
<b>Grants / Self Financing</b>										
Major Schemes - Salaries Design Land in Advance	0	0	0	0	220,000	220,000	48,788	171,212	220,000	0
Major Route Signing	0	0	0	0	60,000	60,000	11,311	48,689	60,000	0
Local Safety Schemes	0	0	0	0	150,000	150,000	150,000	-	150,000	0
Local Area Safety Schemes	0	0	0	0	115,000	115,000	115,000	-	115,000	0
Traffic Initiated Schemes (Traffic Calming)	0	0	0	0	100,000	100,000	56,601	43,399	100,000	0
Measures to encourage Cycling	0	0	0	0	125,000	125,000	16,815	108,185	125,000	0
Measures to encourage Walking	0	0	0	0	125,000	125,000	79,856	45,144	125,000	0
Child Safety - Safe Routes to School	0	0	0	0	125,000	125,000	125,000	-	125,000	0
Traffic & Demand Management Measures	0	0	0	0	100,000	100,000	51,343	48,657	100,000	0
Major Scheme Contributions	0	0	0	0	360,000	360,000	83,041	276,959	360,000	0
Structural Maintenance Roads	0	0	0	0	1,936,000	1,936,000	1,571,616	364,384	1,936,000	0
Structural Maintenance Bridges	0	0	0	0	516,000	516,000	254,553	261,447	516,000	0
Street Lighting - Maintenance Block	0	0	0	0	130,000	130,000	21,127	108,873	130,000	0
Incentive Fund	0	0	0	0	542,000	542,000	347,670	194,330	542,000	0
Pothole Fund	0	0	0	0	394,000	394,000	394,000	-	394,000	0
Woods Lane Re-Development	0	0	0	0	300,000	300,000	278,498	21,502	300,000	0
Children's Trust Accommodation Works (DFE Funded)	0	0	0	0	280,000	280,000	13,091	266,909	280,000	0
BSF Schools for the Future	0	0	0	0	74,000	74,000	-	74,000	74,000	0
Mobile Working	0	0	0	0	41,000	41,000	-	41,000	41,000	0
<b>Section 106</b>										
Roway Lane Development	0	0	0	0	0	0	-	-	-	0
A41 Expressway / A4031 All Saints Way Junction - Tesco	0	0	0	0	509,000	509,000	35,676	473,324	509,000	0
Construction of homes and sports facilities - Churchfields s	0	0	0	0	0	0	-	-	-	0
Affordable Housing	0	0	0	0	0	0	-	-	-	0
Spon Lane, West Bromwich - Highways Contribution	0	0	0	0	0	0	-	-	-	0
TESCO - Planning / Env. Health Contribution	0	0	0	0	0	0	-	-	-	0
Sandwell Rd - Public Realm / Highways Contribution	0	0	0	0	0	0	-	-	-	0
Ashes Road Oldbury Contribution	0	0	0	0	0	0	-	-	-	0
Upper Church Lane Tipton Contribution	0	0	0	0	0	0	-	-	-	0
<b>Regional Housing Board Allocations</b>										
General - Carrington Rd Shops Demolition	0	0	0	0	20,000	20,000	8,768	11,232	20,000	0
School / Carrington Road	0	0	0	0	35,000	35,000	-	35,000	35,000	0
Queslade Bungalows Demolition	0	0	0	0	6,000	6,000	-	6,000	6,000	0
New Build / Supported Housing	0	0	0	0	27,000	27,000	8,957	18,043	27,000	0
<b>Total Regeneration &amp; Growth</b>	<b>4,959,000</b>	<b>-1,115,000</b>	<b>-1,025,000</b>	<b>2,819,000</b>	<b>6,390,000</b>	<b>9,209,000</b>	<b>5,050,824</b>	<b>4,158,176</b>	<b>9,209,000</b>	<b>0</b>

<b>Section 106 Monitoring - Period 9 2018/19</b>				
<b>Regeneration &amp; Growth</b>		<b>Appendix I4 Section 106 Monitoring</b>		
<b>Scheme</b>	<b>Description of Project</b>	<b>Balance Available @ 01/04/18 £</b>	<b>Forecast Expenditure for £</b>	<b>Balance Remaining @ £</b>
Roway Lane Development	Contribution to improvement works at the Fountain Land / Bromford Road junction	48,000	0	48,000
A41 Expressway / A4031 All Saints Way Junction	Contribution from TESCO towards the overall cost of the A41 Expressway / A4031 All Saints Way Junction scheme.	1,209,000	509,000	700,000
Former Churchfields School, All Saints Way, West Bromwich	Erection of 182 dwellings, 3no 100m x 60m football pitches, changing room facilities together with associated road and sewer.	17,000	0	17,000
Land at Alexandra Road and Upper Church Lane, Tipton	Affordable Housing	603,000	0	603,000
High St / Dartmouth St West Bromwich (was Laing but now Taylor Wimpy)	Affordable Housing	12,000	0	12,000
Land at Seymour Road, Oldbury	Affordable Housing	91,000	0	91,000
Land at Summerton Road, Oldbury	Affordable Housing	28,000	0	28,000
Land off spon Lane West Bromwich DC/08/49057	Highways Contribution	447,000	0	447,000
TESCO - West Bromwich	Planning / Environmental Health contribution	50,000	0	50,000
Sandwell Road West Bromwich DC/09/51649	Public Realm / Highways contribution	175,000	0	175,000
Ashes Road Oldbury DC/14/57470	Ashes Road Oldbury Contribution	336,000	0	336,000
Rattlechain Oldbury DC/14/57737	Affordable Housing	210,000	0	210,000
Upper Church lane Tipton DC/09/50926	Planning Contribution	32,000	0	32,000
Land off Mill Street Tipton - DC/15/58921	Affordable Housing	290,000	0	290,000
<b>Total - Regeneration &amp; Growth</b>		<b>3,548,000</b>	<b>509,000</b>	<b>3,039,000</b>

**Housing & Communities Financial Monitoring 2018/19**  
**Period 9 (1<sup>st</sup> April to 31<sup>st</sup> December 2018)**

**Revenue**

**1. Overview**

The projected outturn for Housing & Communities is a deficit of £0.098m, which can be further analysed as follows:

	Annual Target Budget £000	Projected Outturn £000	Projected (Surplus) / Deficit £000
<b>Gross Expenditure</b>	35,048	36,094	1,046
<b>Gross Income</b>	(16,361)	(15,705)	656
<b>Net Expenditure</b>	<b>18,687</b>	<b>20,389</b>	<b>1,702</b>
Contribution to / (Use of) Reserves			(64)
(Use of) Central item surplus/ corporate resources			(1,640)
Revenue Contribution to Capital (RCCO)			100
<b>Adjusted Variance</b>			<b>98</b>
Carried Forward Previously Approved			0
<b>Net Variance</b>			<b>98</b>

It should be noted that the 2018/19 budget includes one-off resources of £0.868m brought forward from previous financial years.

Further details of the projected outturn position can be found in Appendix J1. The table below outlines the main reasons for the projected deficit of £0.098m:

Reasons for Deficit	£000
Temporary Accommodation - the numbers of homeless requiring emergency accommodation continues to place a strain on the service and budgets. There has been a move to increase some vacant internal HRA flats for homelessness which is cheaper than placing people in expensive hotel accommodation. The projected deficit at Period 9 has fallen £95k from the reported position at Period 6.	435
Garage rental income higher than budgeted	(23)
Libraries - employee, rates and premises insurance pressures and shortfalls in rental and other income	130
Community Centres - largely premises and supplies and services costs expected above budget	60
Communities & Partnerships - projected staff savings pending recruitment and restructures	(123)
Parks and Allotments - largely premises and income shortfalls estimated to target	50
Sports and Events - largely staff savings and additional final grant payment higher than expected	(124)
Contact Centre - difficulty in recruiting and retaining staff leading to significant employee savings	(260)
Other variances	(47)
<b>Total</b>	<b>98</b>

Management are continuing to consider options to reduce the projected deficit towards a balanced position during the remainder of the year.

## **2. Available Target Resources**

Target budget resources available to service area as at period 9 are £18.687m. This figure reflects the following amendments that have been made since the last reported period:

	£000
<b>Approved Target Budget at Period 6</b>	<b>17,610</b>
<b>Target Adjustments -</b>	
Capital financing charges	1,077
<b>Revised Target Budget</b>	<b>18,687</b>

There has been no additional specific grants received by the service since the last reported period.

### 3. Virements within the Service

There has been a restructuring of services within the directorate resulting in the disbandment of Communities & Partnerships and the creation of the new service area Tourism, Culture & Leisure. As a result there have been virements of over £0.250m of the approved Gross Expenditure budget between sub divisions within Housing & Communities since the previous monitoring reported to Cabinet.

These virement changes are summarised in the table below:

In-Year Budget Virements		
	Debit £000	Credit £000
Communities & Partnerships		6,654
Housing Management	2,229	
Tourism, Culture & Leisure	9,828	
Commercial Services		5,522
Business Excellence	119	
<b>Total</b>	<b>12,176</b>	<b>12,176</b>

### 4. Central Items

The service has responsibility for the management of one Central Item that is detailed in Appendix J2.

The projected outturn for this is a surplus of £0.800m. It is proposed that this surplus is used to contribute towards the transforming local services £1.000m shortfall. The following table outlines the main reasons for this projected surplus:

Reasons for Surplus/Deficit	£000
Contractual payment amendment relating to recycling rate performance to target	(300)
Inflation rate actual variances to estimated	(120)
The rate for Recyclable disposal lower than budgeted however it is anticipated the rate will increase in future years	(320)
Other - net	(60)
<b>Total</b>	<b>(800)</b>



## 5. Earmarked Reserves

The service has set aside sums totalling £1.119m in previous years as earmarked reserves for use on specific activities in current and future years. The service estimates that it will utilise £0.064m of earmarked reserves during the current year as detailed in the following table:

<b>Earmarked Reserve</b>	<b>Balance as at 31 March 2018 £000</b>	<b>Forecast Expenditure 2018/19 £000</b>	<b>Remaining Balance 31st March 2019 £000</b>
Sports Grants	48	24	24
Portway Lifestyle Centre Property Reserve	437	(75)	512
Private Sector Leasing	217	75	142
Mortgage Rescue	59	0	59
Dartmouth Park	358	40	318
<b>Total</b>	<b>1,119</b>	<b>64</b>	<b>1,055</b>

- The Sports Grants reserve supports ‘Young & Gifted’ athletes and local sports clubs;
- The Portway Lifestyle reserve is to ensure future contractual unitary payments will be fully funded;
- The Private Sector Leasing reserve is to cover potential refurbishment costs and bad debts linked to the lease of private properties for families in housing need;
- The mortgage rescue reserve is for exceptional emergency payments that may arise to prevent eviction of families from private properties;
- The Dartmouth Park reserve is a final balance of grant from Heritage Lottery Fund (HLF) to maintain the high standard of the park and pavilion after the recent renovation.

## 6. Severance Payments

In 2017/18 a provision of £0.371m was created for severance payment costs of which £0.235m expenditure has been incurred to date. It is anticipated that the remaining provision will be fully utilised in 2018/19.

Additional severance payment costs of £0.036m not included in the 2017/18 provision have been incurred to date, with further costs of £0.304m expected during 2018/19. It is requested that these costs be met from corporate resources.

The table below summarises the position:

	Actual to Date	Remaining Costs	Forecast Outturn	Total Provision created 2017/18	Net in year Expenditure
	£000	£000	£000	£000	£000
Provision	235	172	407	371	36
Costs not provided for	41	263	304	0	304
<b>Total</b>	<b>276</b>	<b>435</b>	<b>711</b>	<b>371</b>	<b>340</b>
<b>Funded by:</b>					
Directorate					0
Corporate Resources					340
<b>Total Funding</b>					<b>340</b>

## **7. Use of Corporate Resources**

The service has a savings target of £1.000m relating to the transformation of local services and buildings project. These savings will not be achieved in 2018/19 and it is proposed that this deficit is largely met by the £0.800m projected surplus from the Waste Partnership central item. The waste contract will be monitored closely during the year and it may be able to fund the remaining shortfall.

There will be project team and other revenue costs associated with the planning for the new aquatic centre and Commonwealth Games. It was agreed by Cabinet that these costs would be funded from corporate balances and these costs are estimated at £0.3m for 2018/19.

Projected expenditure of £0.340m incurred for severance costs is requested to be met from corporate resources.

## **8. Housing Revenue Account**

Housing & Communities has responsibility for managing functions that are required to be charged to the Housing Revenue Account rather than the Council's General Fund. The current and forecast position on these HRA related services are shown within the separate HRA report. Cross subsidisation between the General Fund and HRA is not permitted.

## **Capital**

### **9.Overview**

The total capital resources available to Housing & Communities as at period 9 are £6.948m. This reflects the following amendments that have been made since the previously reported monitoring.

	£000
<b>Capital Budget as per P6 Budget Monitoring 2018/19</b>	<b>7,749</b>
<b><u>RCCO</u></b>	
Self Service Customer Portal - slippage of resources into 2019/20	(300)
<b><u>Prudential Borrowing</u></b>	
The Public - conversion to college - slippage of resources into 2019/20	(341)
Acquisition of vehicles - to reflect the current vehicle replacement programme	1,000
<b><u>Grants / Self financing</u></b>	
Lightwoods House HLF - scheme now complete and no more resources to claim from HLF	(912)
Manor House conservation plan - slippage of resources into 19/20	(248)
<b>Revised Capital Budget</b>	<b>6,948</b>

### **10.Virements**

There have been no virements between capital schemes during the period.

## **11. Projected Capital Outturn**

The projected capital outturn for Housing & Communities is to breakeven. Further details of the capital programme for the directorate can be found in Appendix J3.

## **12. Section 106 Monies**

The projected capital outturn includes a number of schemes that are funded from Section 106 monies.

Total projected spend for these projects as at period 9 is £0.300m and details are provided in Appendix J4.

### **Contact**

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Housing & Communities	Appendix J1 Revenue Monitoring		
Area	Annual Target Budget	Projected Outturn	Projected Variance (Surplus) / Deficit
	£'000	£'000	£'000
Housing Management	3,219	4,077	858
Tourism, Culture & Leisure	9,828	10,695	867
Commercial Services	4,301	4,551	250
Business Excellence	1,339	1,066	(273)
<b>Total Net Expenditure</b>	<b>18,687</b>	<b>20,389</b>	<b>1,702</b>
Contribution to / (Use of) Reserves			(64)
(Use of) Central Item surplus/corporate balances			(1,640)
Revenue Contribution to Capital (RCCO)			100
<b>Adjusted Variance</b>			<b>98</b>
Carried Forward Previously Approved			0
<b>Net Variance</b>			<b>98</b>
Subjective Analysis	Annual Target Budget	Projected Outturn	Projected Variance (Surplus) / Deficit
	£'000	£'000	£'000
Employees	13,889	14,085	196
Premises	2,823	3,559	736
Transport	2,091	2,163	72
Supplies & Services	6,224	6,266	42
Third Party Payments	4,246	4,246	0
Transfer Payments	0	0	0
Capital Charges	5,775	5,775	0
<b>Gross Expenditure</b>	<b>35,048</b>	<b>36,094</b>	<b>1,046</b>
Specific Grants	(2,552)	(2,679)	(127)
Other Grants & Contributions	(990)	(206)	784
Fees & Charges	(4,685)	(4,838)	(153)
Recharges in Target	(8,134)	(7,982)	152
Other Income	0	0	0
<b>Gross Income</b>	<b>(16,361)</b>	<b>(15,705)</b>	<b>656</b>

Housing & Communities	Appendix J2 Central Items		
Area	Annual Target Budget £'000	Projected Outturn £'000	Projected Variance (Surplus) / Deficit £'000
Waste Partnership	25,962	25,162	(800)
<b>Total Net Expenditure</b>	<b>25,962</b>	<b>25,162</b>	<b>(800)</b>
Contribution to / (Use of) Reserves			0
(Use of) Corporate Resources			800
<b>Adjusted Net Variance</b>			<b>0</b>
Subjective Analysis	Annual Target Budget £'000	Projected Outturn £'000	Projected Variance (Surplus) / Deficit £'000
Employees	0	0	0
Premises	0	0	0
Transport	0	0	0
Supplies & Services	26,764	25,964	(800)
Third Party Payments	0	0	0
Transfer Payments	0	0	0
Capital Charges	0	0	0
<b>Gross Expenditure</b>	<b>26,764</b>	<b>25,964</b>	<b>(800)</b>
Specific Grants	0	0	0
Other Grants & Contributions	0	0	0
Fees & Charges	(242)	(242)	0
Recharges in Target	(560)	(560)	0
Other Income	0	0	0
<b>Gross Income</b>	<b>(802)</b>	<b>(802)</b>	<b>0</b>
<b>Total Net Expenditure</b>	<b>25,962</b>	<b>25,162</b>	<b>(800)</b>

Housing & Communities

Appendix J3 Capital Monitoring

	Original Budget 2018/19 (Main Programme)	Additional Approvals / Adjustments	Re-Profile to Future Years	Revised Budget 2018/19 (Main Programme)	Self Financing	Total Budget 2018/19	2018/19			(Surplus) / Deficit for the Year
							Actual Spend to Date	Remaining Spend	Total Forecast Expenditure	
	£	£	£	£	£	£	£	£	£	£
<b>Main Programme</b>										
Environmental Improvements To Neighbourhoods (Grot Spots)	235,000	0	0	235,000	0	235,000	77,552	157,448	235,000	0
Charlemont Community Centre Wigmore	37,000	0	0	37,000	0	37,000	0	37,000	37,000	0
Public Access Computers - Libraries	196,000	0	0	196,000	0	196,000	0	196,000	196,000	0
Libraries Management System	40,000	0	0	40,000	0	40,000	35,770	4,230	40,000	0
Manor House - Phase 2	12,000	0	0	12,000	0	12,000	0	12,000	12,000	0
Lightwoods House & Park (16/17 outturn report)	191,000	0	0	191,000	0	191,000	84,956	106,044	191,000	0
Water Safety	14,000	0	0	14,000	0	14,000	6,752	7,248	14,000	0
<b>RCCO</b>										
Self Service Customer Portal (Corporate £600k / HRA £440k)	484,000	0	(300,000)	184,000	0	184,000	150,749	33,251	184,000	0
West Smethwick Park (HLF Match Funding) - centrally earmarked ba	0	0	0	0	0	0	0	0	0	0
West Smethwick Park development plan (Earmarked Balances 16/17	80,000	0	0	80,000	0	80,000	32,615	47,385	80,000	0
Oak House Museum Roof Repairs (Earmarked Balances 16/17 outtur	10,000	0	0	10,000	0	10,000	0	10,000	10,000	0
<b>Prudential Borrowing</b>										
The Public - conversion to college	341,000	0	(341,000)	0	0	0	0	0	0	0
Lightwoods Park	85,000	0	0	85,000	0	85,000	0	85,000	85,000	0
Aquatic Centre - Commonwealth Games 2022	3,972,000	0	(687,000)	3,285,000	0	3,285,000	779,982	2,505,018	3,285,000	0
Acquisition of Vehicles - Prudential	1,000,000	1,000,000	0	2,000,000	0	2,000,000	1,299,419	700,581	2,000,000	0
<b>Thematic Pot Allocations</b>										
Forge Mill Farm	2,000	0	0	2,000	0	2,000	0	2,000	2,000	0
Lightwoods House Roof Works	35,000	0	0	35,000	0	35,000	0	35,000	35,000	0
<b>Grants / Self Financing</b>										
Libraries Management system	0	0	0	0	4,000	4,000	0	4,000	4,000	0
Manor House Conservation Plan	0	0	0	0	0	0	0	0	0	0
Lightwoods Park - HLF	0	0	0	0	0	0	0	0	0	0
Dartmouth Park - HLF	0	0	0	0	4,000	4,000	2,460	1,540	4,000	0
West Smethwick Park HLF	0	0	0	0	50,000	50,000	0	50,000	50,000	0
Oakhouse Barns Restoration Project	0	0	0	0	10,000	10,000	0	10,000	10,000	0
Sandwell Valley High Ropes (Insurance Receipt £169k)	0	0	0	0	169,000	169,000	162,919	6,081	169,000	0
Youth Centre, Queens Way, Oldbury	0	0	0	0	5,000	5,000	0	5,000	5,000	0
<b>Section 106</b>										
Section 106 Accounts - Cultural	0	0	0	0	300,000	300,000	269,429	30,571	300,000	0
<b>Total</b>	<b>6,734,000</b>	<b>1,000,000</b>	<b>(1,328,000)</b>	<b>6,406,000</b>	<b>542,000</b>	<b>6,948,000</b>	<b>2,902,602</b>	<b>4,045,398</b>	<b>6,948,000</b>	<b>0</b>

Housing & Communities		Appendix J4 Section 106 Monitoring		
Scheme	Description of Project	Balance Available @ 01/04/18 £	Forecast Expenditure for 2018/19 £	Balance Remaining @ 31/03/19 £
Tifford Pools Open Space - Oldbury	Environmental Improvements Work to be carried out by Sandwell Valley Team	8,000	8,000	0
Queensway - Oldbury	Proposal being developed	3,300	0	3,300
Barnford Park, Oldbury DC/07/48918	Open Space Play Area - Barnford Hill Park	27,000	23,000	4,000
<b>Total - Oldbury</b>		<b>38,300</b>	<b>31,000</b>	<b>7,300</b>
Haden Hill Park - Rowley Regis	Fencing Works	2,000	2,000	0
Bearmore Playing Fields Open Space - Rowley Regis	Project being developed	12,200	0	12,200
<b>Total - Rowley Regis</b>		<b>14,200</b>	<b>2,000</b>	<b>12,200</b>
Grenville Drive - Smethwick	Project being developed	18,400	0	18,400
Victoria Road, Smethwick DC/04/42703	Improvements & enhancements at Victoria Park	124,000	39,600	84,400
<b>Total - Smethwick</b>		<b>142,400</b>	<b>39,600</b>	<b>102,800</b>
The Cracker - Tipton DC/05/45017	Open space / Adult recreational works - The Cracker	116,000	50,000	66,000
<b>Total - Tipton</b>		<b>116,000</b>	<b>50,000</b>	<b>66,000</b>
Hydes Road Playing Fields DC/04/42731	Fence & Chichane works - Hydes Rd Playing Fields	37,100	37,100	0
Wednesbury Town Centre - Wednesbury	Project being developed leading up to Centenary Celebrations in 2018	1,600	1,600	0
Hill Top Park, Wednesbury DC/12/55176	Open Space Improvements	86,000	37,000	49,000
Leabrook Rd Wednesbury DC/05/45542	Open space Improvements - Willingsworth Linear Park/Hydes Rd Pool/Hill Top Park	64,000	50,000	14,000
Brunswick Park - Wednesbury	Project being developed including Play Equipment replacement	13,100	13,100	0
<b>Total - Wednesbury</b>		<b>201,800</b>	<b>138,800</b>	<b>63,000</b>
Lyttleton Street - West Bromwich DC/05/45555	Project being developed	14,500	0	14,500
Farley Park, Wednesbury DC/08/50253	Open space Improvements - Farley Park	34,000	34,000	0
Bank Street - West Bromwich DC/05/44805	Open space Improvements - Kesteven Road	4,600	4,600	0
<b>Total - West Bromwich</b>		<b>53,100</b>	<b>38,600</b>	<b>14,500</b>
<b>Grand Total - Housing &amp; Communities</b>		<b>565,800</b>	<b>300,000</b>	<b>265,800</b>



**Children's Services Financial Monitoring 2018/19**  
**Period 9 (1<sup>st</sup> October to 31<sup>st</sup> December 2018)**

**Revenue**

**1. Overview**

The projected outturn for Children's Services is a deficit of £0.138m, which can be further analysed as follows:

	Annual Target Budget £000	Projected Outturn £000	Projected (Surplus) / Deficit £000
<b>Gross Expenditure</b>	93,316	94,707	<b>1,391</b>
<b>Gross Income</b>	<b>(13,280)</b>	<b>(12,174)</b>	<b>1,106</b>
<b>Net Expenditure</b>	<b>80,036</b>	<b>82,533</b>	<b>2,497</b>
Contribution to / (Use of) Reserves			<b>(1,296)</b>
(Use of) Corporate Resources			<b>(1,063)</b>
Revenue Contribution to Capital (RCCO)			0
<b>Adjusted Variance</b>			<b>138</b>
Carried Forward Previously Approved			0
<b>Net Variance</b>			<b>138</b>

It should be noted that the 2018/19 budget includes one-off resources of £0.179 million brought forward from previous years.

Further details of projected outturn position can be found in Appendix K1. The table below outlines the main reasons for the projected deficit of £0.138m:

Reasons for Surplus/Deficit	£000
SEN Home to School Transport - Additional costs above the initial contract price due to a retendering of the Meadows Contract and changes to other contracts following due diligence	870
Children's Centres - Savings against the centrally held budget for premises costs that are not included in the Children's Centres contracts	(230)
SEND Implementation Grant - SEND implementation work in 2018/19 will not require the full grant allocation	(150)
Salaries Underspend	(100)
Additional Income - One Off Fees and charges	(252)
<b>Total</b>	<b>138</b>

The projected net deficit is requested to be treated as follows:

Reasons for Surplus/Deficit	£000
Directorate savings - to be identified	138
<b>Total</b>	<b>138</b>

## **2. Available Target Resources**

Target budget resources available to Children's Services as at period 9 are £80.036m. This figure reflects the following amendments that have been made since the 2018/19 budget was approved:

	£000
<b>Target Budget as per P6 Budget Monitoring</b>	<b>78,949</b>
<b>Target Adjustments -</b>	
Capital Depreciation	1,991
Transfer of Passenger Transport Unit (PTU) to Adults Service	(904)
<b>Revised Target Budget P9 2018/19</b>	<b>80,036</b>

There have been no additional specific grants received by the service since the 2018/19 budget was approved.

### 3. Virements within the Service

There have been no virements of over £0.250m between sub divisions of Children's Services since the 2018/19 budget was approved.

### 4. Central Items

The service has responsibility for the management of one Central Item that is detailed in Appendix K2.

The projected outturn for this item is a break-even position.

### 5. Earmarked Reserves

The service has set aside sums totalling £3.517m in previous years as earmarked reserves for use on specific activities in current and future years. The service estimated that it would utilise £1.296m of earmarked reserves during the current year; the projected outturn is that this amount will be fully utilised as detailed in the following table:

<b>Earmarked Reserve</b>	<b>Balance as at 31 March 2018 £000</b>	<b>Forecast Expenditure 2018/19 £000</b>	<b>Remaining Balance 31st March 2019 £000</b>
Regeneration and Economy	186	35	151
Early Help	1,083	1,083	0
BSF Sinking Fund	2,070	0	2,070
Sandwell Safeguarding Childrens Board	178	178	0
<b>Total</b>	<b>3,517</b>	<b>1,296</b>	<b>2,221</b>

#### Regeneration and Economy

The Regeneration and Economy reserve was created to support a number of measures designed to promote training and the creation of jobs. These measures included future skills, apprenticeship training, a fit for work programme and workchoice. The profiling of these measures is reviewed on an ongoing basis and it is currently anticipated that £0.035m of this reserve will be used in the 2018/19 financial year with the balance of £0.151m to be used in the 2019/20 financial year.

#### Early Help

This reserve was established to maintain the Early Help offer and was linked to a large range of projects established under the Families and Communities Together agenda. The reserve was not transferred to Sandwell Children's Trust and will be fully utilised by Children's Services in 2018/19.

### **BSF FM Sinking Fund**

Sums totalling £2.070m were set aside in previous years as earmarked reserves for use on the Building Schools for the Future Facilities Management Sinking Fund. The service estimates that it will not utilise this reserve during the current year.

### **Sandwell Safeguarding Childrens Board (SSCB)**

This reserve has now been released to the Children's Trust.

## **6. Severance Payments**

In 2017/18 a provision of £0.042m was created for severance payment costs, of which no expenditure has been incurred to date. It is anticipated that the remaining provision will be fully utilised in 2018/19.

The table below summarises the position:

	Actual to Date	Remaining Costs	Forecast Outturn	Total Provision created 2017/18	Net in year Expenditure
	£000	£000	£000	£000	£000
Provision	0	42	42	42	0
Costs not provided for					0
<b>Total</b>	<b>0</b>	<b>42</b>	<b>42</b>	<b>42</b>	<b>0</b>
<b>Funded by:</b>					
Directorate					0
Corporate Resources					0
<b>Total Funding</b>					<b>0</b>

## **7. Use of Corporate Resources**

Projected expenditure of £1.063m is requested to be funded from corporate resources, broken down as follows:

- £0.233m to cover the cost of the Sandwell Guarantee Scheme, this has already been agreed and is part of existing ear-marked balances;
- £0.130m to cover the cost of SEND reforms, this has already been agreed and is part of existing ear-marked balances;

- £0.350m to address pressures related to No Recourse to Public Funds (NRPF) expenditure.
- Children's need to call on £0.350m for the Primary Mental Health Worker Contract (Kaleidoscope). This is a one off as the CCG will fund from 2019/20 onwards.

## **Capital**

### **8.Overview**

The total capital resources available to Children's Services as at period 9 are £21.061m. This reflects the following amendments that have been made since the previously reported monitoring.

	£000
Capital Budget as per P6 Budget Monitoring 2018/19	23,301
<u>Grants / Self Financing</u>	
Schools Capital Programme – Basic Need slippage into 19/20	(2,510)
Healthy Pupils Capital Fund Grant	270
<b>Revised Capital Budget P9 2018/19</b>	<b>21,061</b>

### **9.Virements**

There have been no virements between capital schemes during the period.

### **10.Projected Capital Outturn**

The projected capital outturn for Children's Services is to breakeven.

Further details of the capital programme for the directorate can be found in Appendix K3.

### **11.Section 106 Monies**

Children's Services has no responsibility for section 106 monies, there is no Appendix K4 for this service.

Contact  
Steve Lilley

Business Partner – Finance - 0121 569 3863

## Appendix K Children's Services

Children's Services	Appendix K1 Revenue Monitoring		
Area	Annual Target Budget	Projected Outturn	Projected Variance (Surplus) / Deficit
	£'000	£'000	£'000
Director of Education and Employment	9,421	9,671	250
Education Support services	1,669	1,629	(40)
Learning Improvement	3,171	3,024	(147)
Inclusive Learning	3,250	3,935	685
Director of Children's Services	4,296	5,867	1,571
Sandwell Children's Trust	58,229	58,407	178
<b>Total Net Expenditure</b>	<b>80,036</b>	<b>82,533</b>	<b>2,497</b>
Contribution to / (Use of) Reserves			(1,296)
(Use of) Corporate Resources			(1,063)
Revenue Contribution to Capital (RCCO)			0
<b>Adjusted Variance</b>			<b>138</b>
Carried Forward Previously Approved			0
<b>Net Variance</b>			<b>138</b>
Subjective Analysis	Annual Target Budget	Projected Outturn	Projected Variance (Surplus) / Deficit
	£'000	£'000	£'000
Employees	12,241	12,140	(101)
Premises	1,305	1,171	(134)
Transport	2,854	3,724	870
Supplies & Services	7,222	7,628	406
Third Party Payments	58,229	58,229	0
Transfer Payments	46	396	350
Capital Charges	11,419	11,419	0
<b>Gross Expenditure</b>	<b>93,316</b>	<b>94,707</b>	<b>1,391</b>
Specific Grants	(2,145)	(2,245)	(100)
Other Grants & Contributions	0	0	0
Fees & Charges	(2,123)	(917)	1,206
Recharges in Target	(1,169)	(1,169)	0
Other Income	(7,843)	(7,843)	0
<b>Gross Income</b>	<b>(13,280)</b>	<b>(12,174)</b>	<b>1,106</b>
<b>Total Net Expenditure</b>	<b>80,036</b>	<b>82,533</b>	<b>2,497</b>

Appendix K Children's Services

<b>Children's Services</b>	<b>Appendix K2 Central Items</b>		
Area	Annual Target Budget	Projected Outturn	Projected Variance (Surplus) / Deficit
	£'000	£'000	£'000
Building Schools for the Future	400	400	0
<b>Total Net Expenditure</b>	<b>400</b>	<b>400</b>	<b>0</b>
Contribution to / (Use of) Reserves			0
(Use of) Corporate Resources			0
<b>Adjusted Net Variance</b>			<b>0</b>
Subjective Analysis	Annual Target Budget	Projected Outturn	Projected Variance (Surplus) / Deficit
	£'000	£'000	£'000
Employees	0	0	0
Premises	0	0	0
Transport	0	0	0
Supplies & Services	400	400	0
Third Party Payments	0	0	0
Transfer Payments	0	0	0
Capital Charges	0	0	0
<b>Gross Expenditure</b>	<b>400</b>	<b>400</b>	<b>0</b>
Specific Grants	0	0	0
Other Grants & Contributions	0	0	0
Fees & Charges	0	0	0
Recharges in Target	0	0	0
Other Income	0	0	0
<b>Gross Income</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Total Net Expenditure</b>	<b>400</b>	<b>400</b>	<b>0</b>



Children's Services							Appendix K3 Capital Monitoring			
	Original Budget 2018/19 (Main Programme)	Additional Approvals / Adjustments	Re-Profile to Future Years	Revised Budget 2018/19 (Main Programme)	Self Financing	Total Budget 2018/19	2018/19			(Surplus) / Deficit for the Year
	£	£	£	£	£	£	Actual Spend to Date £	Remaining Spend £	Total Forecast Expenditure £	£
<b>Supported Borrowing</b>										
BSF ICT Element	80,000	0	0	80,000	0	80,000	-	80,000.00	80,000	0
<b>Thematic Allocations</b>										
Edgmond Cottage Extension	1,000	0	0	1,000	0	1,000	-	1,000.00	1,000	0
Grants / Self Financing										
<b>PLAY PATHFINDER</b>	0	0	0	0	6,000	6,000	-	6,000.00	6,000	0
PLAS Gwynant (Insurance Receipt)	0	0	0	0	18,000	18,000	-	18,000.00	18,000	0
Ingestre Hall - Boiler Replacement	0	0	0	0	1,000	1,000	1,000	-	1,000	0
<b>Schools Capital Programme Schemes</b>										
New School Kelvin Way	0	0	0	0	5,021,000	5,021,000	2,818,489	2,202,511.35	5,021,000	0
Q3 Langley Phase 2	0	0	0	0	4,204,000	4,204,000	2,652,951	1,551,048.80	4,204,000	0
Shireland Collegiate Academy	0	0	0	0	3,584,000	3,584,000	1,312,195	2,271,804.65	3,584,000	0
George Salter Academy	0	0	0	0	1,491,000	1,491,000	260,924	1,230,075.51	1,491,000	0
St Matthews CE 18/19	0	0	0	0	1,212,000	1,212,000	163,286	1,048,714.34	1,212,000	0
School Condition - LifeCycle property maintenance	0	0	0	0	1,212,000	1,212,000	1,211,540	459.70	1,212,000	0
Yew Tree Primary	0	0	0	0	1,111,000	1,111,000	1,110,225	775.21	1,111,000	0
ESFA Shireland Primary Free School	0	0	0	0	195,000	195,000	194,480	520.22	195,000	0
Old Park / Albert Pritchard / Wood Green Primary	0	0	0	0	170,000	170,000	169,761	238.85	170,000	0
Moorlands Primary	0	0	0	0	162,000	162,000	161,552	447.86	162,000	0
Crocketts Community Primary 18/19	0	0	0	0	160,000	160,000	80,046	79,954.45	160,000	0
Sacred Heart Primary	0	0	0	0	126,000	126,000	125,478	522.18	126,000	0
Priory Primary Expansion	0	0	0	0	117,000	117,000	97,151	19,849.17	117,000	0
Ormiston Sandwell Community Academy	0	0	0	0	100,000	100,000	73,010	26,989.95	100,000	0
New Oldbury Primary - Lightwoods	0	0	0	0	83,000	83,000	82,549	451.46	83,000	0
Hargate Primary	0	0	0	0	71,000	71,000	70,325	675.04	71,000	0
RSA Academy	0	0	0	0	60,000	60,000	27,925	32,075.32	60,000	0
Victoria Park Academy	0	0	0	0	50,000	50,000	20,948	29,051.99	50,000	0
Reddall Hill Primary	0	0	0	0	41,000	41,000	40,576	424.18	41,000	0
St Huberts - FINAL PAYMENT	0	0	0	0	32,000	32,000	31,636	363.64	32,000	0
Great Bridge Primary	0	0	0	0	25,000	25,000	1,955	23,044.98	25,000	0
Relocation of Stuart Bathurst	0	0	0	0	21,000	21,000	19,425	1,575.16	21,000	0
Feasibility Work Expansion of Secondary	0	0	0	0	20,000	20,000	16,768	3,231.92	20,000	0
Attendance Service Equipment Upgrade	0	0	0	0	20,000	20,000	19,651	349.04	20,000	0
Causeway Green Flooding	0	0	0	0	11,000	11,000	10,547	452.77	11,000	0
Bristnall Hall Academy	0	0	0	0	5,000	5,000	-	5,000.00	5,000	0
Summerhill Academy	0	0	0	0	5,000	5,000	4,625	374.66	5,000	0
St Gregorys 18/19	0	0	0	0	3,000	3,000	2,312	688.36	3,000	0
Rood End Bulge Class	0	0	0	0	4,000	4,000	3,790	210.44	4,000	0
Wood Green Academy	0	0	0	0	1,000	1,000	31.16	968.84	1,000	0
School Kitchens	0	0	0	0	1,000	1,000	55	944.97	1,000	0
Holy Trinity CE Primary	0	0	0	0	1,000	1,000	385	614.87	1,000	0
Blackheath Primary	0	0	0	0	1,000	1,000	-	1,000.00	1,000	0
Phoenix	0	0	0	0	1,000	1,000	201	799.36	1,000	0

**Children's Services** **Appendix K3 Capital Monitoring**

	Original Budget 2018/19 (Main Programme)	Additional Approvals / Adjustments	Re-Profile to Future Years	Revised Budget 2018/19 (Main Programme)	Self Financing	Total Budget 2018/19	2018/19			(Surplus) / Deficit for the Year
							Actual Spend to Date	Remaining Spend	Total Forecast Expenditure	
	£	£	£	£	£	£	£	£	£	£
Refurbishment of the Hollies	0	0	0	0	1,000	1,000	827	173.44	1,000	0
St Michaels	0	0	0	0	1,000	1,000	31	968.85	1,000	0
Shenstone Lodge double classroom	0	0	0	0	1,000	1,000	831	168.93	1,000	0
Joseph Turner	0	0	0	0	1,000	1,000	415.53	584.47	1,000	0
Programme Contingency 18/19 5%	0	0	0	0	0	0	-	-	-	0
Devolved Formula Capital	0	0	0	0	792,000	792,000	-	792,000.00	792,000	0
Devolved Formula Capital - PRU's	0	0	0	0	31,000	31,000	-	31,000.00	31,000	0
BSF Oldbury	0	0	0	0	171,000	171,000	-	171,000.00	171,000	0
Two Years Old Entitlement - Early Years Capital	0	0	0	0	7,000	7,000	5,000	2,000.00	7,000	0
Orchard Building Work	0	0	0	0	59,000	59,000	1,002	57,998.37	59,000	0
Shenstone Lodge	0	0	0	0	300,000	300,000	36,467	263,532.51	300,000	0
Healthy Pupils Capital Fund	0	0	0	0	270,000	270,000	130,128	139,872	270,000	0
<b>Total</b>	<b>81,000</b>	<b>0</b>	<b>0</b>	<b>81,000</b>	<b>20,980,000</b>	<b>21,061,000</b>	<b>10,960,492</b>	<b>10,100,508</b>	<b>21,061,000</b>	<b>0</b>

**Public Health Financial Monitoring 2018/19**  
**Period 9 (1<sup>st</sup> April to 31<sup>st</sup> December 2018)**

**Revenue**

**1. Overview**

The projected outturn for Public Health is a surplus of £0.454m, which can be further analysed as follows:

	Annual Target Budget £000	Projected Outturn £000	Projected (Surplus) / Deficit £000
<b>Gross Expenditure</b>	27,628	25,809	<b>(1,819)</b>
<b>Gross Income</b>	<b>(24,850)</b>	<b>(24,920)</b>	<b>(70)</b>
<b>Net Expenditure</b>	<b>2,778</b>	<b>889</b>	<b>(1,889)</b>
Contribution to / (Use of) Reserves			29
(Use of) Corporate Resources			<b>(124)</b>
Revenue Contribution to Capital (RCCO)			0
<b>Adjusted Variance</b>			<b>(1,984)</b>
Carried Forward Previously Approved			1,530
<b>Net Variance</b>			<b>(454)</b>

It should be noted that the 2018/19 budget includes one-off resources of £2.681m brought forward from previous years.

Further details of the projected outturn position can be found in Appendix L1. The following table outlines the main reasons for the projected surplus of £0.454m:

Reasons for Surplus/Deficit	£000
Communicable Disease - Surplus has mainly occurred from savings in Genitourinary Medicine (GUM), and HIV and sexual health awareness training	(66)
Long Term Conditions - surplus is predominantly related to a saving achieved through the re-tendering of the Health Checks contract, weight management, healthy workplace assessment and posts that have become vacant during the year, and revised activity data from Mytime contract	(114)
Children's - deficit relates to additional legal charges linked to the school nurses contract.	9
Substance Misuse & Smoking - deficit has mainly occurred due to an unexpected increase in the price of a commonly used drugs	8
Wider Determinants -surplus has mainly occurred due to a reduction in the planned programme of activity, including , Emotional Health & Wellbeing, Winter related programmes, Warmer homes, Healthy Sandwell Website Development.	(195)
Public Health Management - surplus has mainly arisen as a result of vacant posts, within the management tiers	(97)
<b>Total</b>	<b>(454)</b>

The projected net surplus is requested to be treated as follows:

Requested Treatment	£000
Balance of the forecast surplus to be carried forward to 2020/21 to assist in the management of the reductions in the value of the Public Health Grant	454
<b>Total</b>	<b>454</b>

## 2. Available Target Resources

The target budget resources available to Public Health as at Period 9 are £2.778 million. This figure has not changed since the previous monitoring

reported to Cabinet and includes one-off resources of £2.681 million brought forward from previous years:

There have been no additional specific grants received by the service since the previous monitoring reported to Cabinet.

### **3. Virements within the Service**

There have been no virements of over £0.250m / 1% of the approved Gross Expenditure budget between sub divisions within Public Health since the previous monitoring reported to Cabinet.

### **4. Central Items**

As the service does not have responsibility for the management of any Central Items there is no Appendix L2.

### **5. Earmarked Reserves**

The service set aside sums totalling £0.288m in previous years as earmarked reserves for use on specific activities in current and future years. The service estimates that it will transfer £0.029m into earmarked reserves during the current year as detailed in the following table:

<b>Earmarked Reserve</b>	<b>Balance as at 31 March 2018 £'000</b>	<b>Forecast Expenditure 2018/19 £'000</b>	<b>Remaining Balance 31st March 2019 £'000</b>
Learning for Public Health	288	(29)	317
	<b>288</b>	<b>(29)</b>	<b>317</b>

These funds are held on behalf of neighbouring local authorities for future seminars and publications relating to learning for public health.

## 6. Severance Payments

No provision for severance costs was made in 2017/18. Severance payment costs of £0.093m have been incurred to date. It is anticipated these costs will be funded from the service area.

The table below summarises the position:

	Actual to Date	Remaining Costs	Forecast Outturn	Total Provision created 2017/18	Net in year Expenditure
	£000	£000	£000	£000	£000
Provision					0
Costs not provided for	93		93		93
<b>Total</b>	<b>93</b>	<b>0</b>	<b>93</b>	<b>0</b>	<b>93</b>
<b>Funded by:</b>					
Directorate					93
Corporate Resources					
<b>Total Funding</b>					<b>93</b>

## 7. Use of Corporate Resources

Projected expenditure of £0.124m is to be funded from corporate resources. This has already been agreed and is part of existing earmarked balances to cover the cost of the SHAPE Programme. The SHAPE programme was initiated to ensure that the whole of the council, along with partner organisations, listened and responded to the views of children and young people. The acronym SHAPE is derived from

Staying **S**afe  
 Being **H**ealthy  
 Enjoying and **A**chieving  
 Making a **P**ositive Contribution  
**E**conomic Wellbeing

## Capital

## 8. Overview

As there are no capital resources available to Public Health there is no Appendix L3 for this service.

**9. Section 106 Monies**

As Public health has no responsibility for S106 monies there is no Appendix L4 for this service.

Contact

Charlie Davey

Business Partner - Finance

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**Public Health**

**Appendix L1 Revenue Monitoring**

Area	Annual Target Budget	Projected Outturn	Projected Variance (Surplus) / Deficit
	£'000	£'000	£'000
Communicable Disease	3,190	3,225	35
Long Term Conditions	2,789	2,202	(587)
Childrens	9,911	10,010	99
Substance Misuse & Smoking	4,625	4,511	(114)
Wider Determinants	3,642	2,995	(647)
Public Health Management	2,187	1,730	(457)
Public Health Grant	(23,566)	(23,784)	(218)
<b>Total Net Expenditure</b>	<b>2,778</b>	<b>889</b>	<b>(1,889)</b>
Contribution to / (Use of) Reserves			29
(Use of) Corporate Resources			(124)
Revenue Contribution to Capital (RCCO)			0
<b>Adjusted Variance</b>			<b>(1,984)</b>
Carried Forward Previously Approved			1,530
<b>Net Variance</b>			<b>(454)</b>
Subjective Analysis	Annual Target Budget	Projected Outturn	Projected Variance (Surplus) / Deficit
	£'000	£'000	£'000
Employees	2,943	2,339	(605)
Premises	132	133	1
Transport	7	3	(4)
Supplies & Services	24,519	23,308	(1,211)
Third Party Payments	0	0	0
Transfer Payments	0	0	0
Capital Charges	27	27	0
<b>Gross Expenditure</b>	<b>27,628</b>	<b>25,809</b>	<b>(1,819)</b>
Specific Grants	(24,714)	(24,714)	0
Other Grants & Contributions	(127)	(154)	(27)
Fees & Charges	0	(29)	(29)
Recharges in Target	(9)	(23)	(14)
Other Income	0	0	0
<b>Gross Income</b>	<b>(24,850)</b>	<b>(24,920)</b>	<b>(70)</b>
<b>Total Net Expenditure</b>	<b>2,778</b>	<b>889</b>	<b>(1,889)</b>



**Housing Revenue Account (HRA) Financial Monitoring 2018/19**  
**Period 9 (1<sup>st</sup> April to 31<sup>st</sup> December 2018)**

**Revenue****1. Overview**

The projected outturn for the Housing Revenue Account is a surplus of £1.433m, which can be further analysed as follows:

	<b>Annual Target Budget £000</b>	<b>Projected Outturn £000</b>	<b>Projected (Surplus) / Deficit £000</b>
<b>Gross Expenditure</b>	91,150	90,372	<b>(778)</b>
<b>Gross Income</b>	<b>(131,132)</b>	<b>(131,187)</b>	<b>(55)</b>
<b>Net Expenditure</b>	<b>(39,982)</b>	<b>(40,815)</b>	<b>(833)</b>
Contribution to / (Use of) Reserves			0
(Use of) HRA Balances			<b>(600)</b>
Revenue Contribution to Capital (RCCO)			0
<b>Adjusted Variance</b>			<b>(1,433)</b>
Carried Forward Previously Approved			1,158
<b>Net Variance</b>			<b>(275)</b>

Further details of the projected outturn position can be found in Appendix M1. The table below outlines the main reasons for the projected surplus of £1.433m:

## Appendix M Housing Revenue Account

Reasons for Surplus/Deficit	£000
Restructuring and staff turnover within Housing Management resulting in staff savings due to budgets being at top of grade, along with vacancies for 6 months while recruitment is carried out	(150)
Income generated from sales of materials to sub contractors	(75)
Demolition of Maisonettes on Windmill Lane	133
New Build Feasibility costs	50
Reduced printing costs following move to managed print	(225)
Other variances	(8)
<b>Total</b>	<b>(275)</b>

The projected net surplus is requested to be treated as follows:

Requested Treatment	£000
Transfer to HRA balances to fund ongoing capital investment	275
<b>Total</b>	<b>275</b>

### **2. Available Target Resources**

The target budget resources available to the Housing Revenue Account as at period 9 are £39.982m. There have been no amendments made since the previous monitoring report to Cabinet.

No additional specific grants have been received by the Housing Revenue Account since the previous monitoring report to Cabinet.

### **3. Virements within the Service**

There has been a restructuring of services within the Housing and Communities directorate which has resulted in a change of responsibilities at service manager level within the HRA. The sub division of Communities & Partnerships has been removed and the service has been transferred into the Housing Management service area.

## Appendix M Housing Revenue Account

<b>In-Year Budget Virements</b>		
	<b>Debit £000</b>	<b>Credit £000</b>
Communities & Partnerships		1,825
Housing Management	1,825	
<b>Total</b>	<b>1,825</b>	<b>1,825</b>

### 4. Central Items

As the service does not have responsibility for the management of any Central Items there is no Appendix M2.

### 5. Earmarked Reserves

The service has set aside sums totalling £3.010m in previous years as an earmarked reserve for use on specific activities in current and future years. The service estimated that it would not utilise this during the current year and this remains the projected position as detailed in the following table:

<b>Earmarked Reserve</b>	<b>Balance as at 31 March 2018 £000</b>	<b>Forecast Expenditure 2018/19 £000</b>	<b>Remaining Balance 31st March 2019 £000</b>
HRA Welfare Reform	3,010	0	3,010
<b>Total</b>	<b>3,010</b>	<b>0</b>	<b>3,010</b>

The HRA Welfare Reform reserve is to manage the impact of the introduction of Universal Credit. However, recent government announcements suggest that the full roll out of this combined benefit has been delayed again and there will be no forecast expenditure against this reserve during 2018/19.

### 6. Severance Payments

## Appendix M Housing Revenue Account

In 2017/18 a provision of £0.303m was created for severance costs. No costs have been incurred to date however it is anticipated that £0.297m of the provision will be utilised in 2018/19.

The following table summarises the position:

	Actual to Date	Remaining Costs	Forecast Outturn	Total Provision created 2017/18	Net in year Expenditure
	£000	£000	£000	£000	£000
Provision	89	196	286	303	(17)
Costs not provided for	12	0	12	0	12
<b>Total</b>	<b>101</b>	<b>196</b>	<b>298</b>	<b>303</b>	<b>(5)</b>
<b>Funded by:</b>					
Directorate					0
HRA Balances					(5)
<b>Total Funding</b>					<b>(5)</b>

### 7. Use of HRA Reserves

Projected expenditure of £0.600m incurred by the Housing Revenue Account will be met from HRA balances. This is to cover the cost of the ongoing Transforming Tomorrow Programme to enable mobile working in the Asset Management service, along with improvements to the Housing Management system (SHAPE).

## Capital

### 8. Overview

The total capital resource available to the Housing Revenue Account as at period 9 are £47.934m. This reflects the following amendments that have been made since the previously reported monitoring:

## Appendix M Housing Revenue Account

	£000
<b>Capital Budget as per 2018/19 P6 Budget Monitoring</b>	<b>54,601</b>
<b>Reprofile to 2019/20</b>	
West Road New Build	(3,279)
Strathmore Road New Build	(1,500)
Metis Developments new build	(450)
High Rise Unallocated	(900)
Internal Refurbishment	(2,500)
CCTV Expansion	(150)
<b>Prudential Borrowing</b>	
Mountford House Lifts	325
RTB Buy Backs	500
<b>Self Financing</b>	
Homes England Affordable Housing grant allocation	1,287
<b>Revised Capital Resource Period 9</b>	<b>47,934</b>

### 9. Virements

There have been no virements between capital schemes during the period.

### 10. Projected Capital Outturn

The projected outturn for the Housing Revenue Account is to breakeven. Further details of the capital programme for the service can be found in Appendix M3.

#### Contact

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## Appendix M Housing Revenue Account

Housing Revenue Account	Appendix M1 Revenue Monitoring		
Area	Annual Target Budget	Projected Outturn	Projected Variance (Surplus) / Deficit
	£'000	£'000	£'000
Asset Management & Maintenance	31,646	31,090	(556)
Business Excellence	3,193	3,620	427
Commercial Services	4,086	3,986	(100)
Corporate HRA	18,582	18,836	254
Housing Management	9,283	8,291	(992)
PFI	(928)	(852)	76
Rents & Other Charges	(112,796)	(112,674)	122
SLA	6,952	6,888	(64)
<b>Total Net Expenditure</b>	<b>(39,982)</b>	<b>(40,815)</b>	<b>(833)</b>
Contribution to / (Use of) Reserves			0
(Use of) HRA Balances			(600)
Revenue Contribution to Capital (RCCO)			0
<b>Adjusted Variance</b>			<b>(1,433)</b>
Carried Forward Previously Approved			1,158
<b>Net Variance</b>			<b>(275)</b>
Subjective Analysis	Annual Target Budget	Projected Outturn	Projected Variance (Surplus) / Deficit
	£'000	£'000	£'000
Employees	32,561	31,772	(789)
Premises	2,617	2,761	144
Transport	2,105	1,900	(205)
Supplies & Services	39,185	39,257	72
Third Party Payments	498	498	0
Transfer Payments	0	0	0
Capital Charges	14,184	14,184	0
<b>Gross Expenditure</b>	<b>91,150</b>	<b>90,372</b>	<b>(778)</b>
Specific Grants	(5,713)	(5,713)	0
Other Grants & Contributions	0	0	0
Fees & Charges	(124,478)	(124,608)	(130)
Recharges in Target	(941)	(866)	75
Other Income	0	0	0
<b>Gross Income</b>	<b>(131,132)</b>	<b>(131,187)</b>	<b>(55)</b>
<b>Total Net Expenditure</b>	<b>(39,982)</b>	<b>(40,815)</b>	<b>(833)</b>

Housing Revenue Account

Appendix M3 Capital Monitoring

	Original Budget	Additional	Re-Profile to	Revised Budget	Self Financing	Total Budget	2018/19			(Surplus) / Deficit for
	2018/19 (Main	Approvals /	Future Years	2018/19 (Main		2018/19	Actual Spend to	Remaining	Total Forecast	the Year
	Programme)	Adjustments		Programme)	£	£	Date	Spend	Expenditure	£
	£	£	£	£	£	£	£	£	£	£
<b>Prudential Borrowing - New build (inc HCA Grant)</b>	0	0	0	0	0	0	0	0	0	0
Moor Lane Extra Care	6,170,000	0	(5,835,000)	335,000	0	335,000	168,679	166,321	335,000	0
Carrisbrooke Close	5,579,000	0	(460,000)	5,119,000	1,368,000	6,487,000	4,309,393	2,177,607	6,487,000	0
West Road	4,492,000	0	(3,279,000)	1,213,000	1,287,000	2,500,000	413,387	2,086,613	2,500,000	0
Strathmore Road	0	0	2,484,000	2,484,000	0	2,484,000	219,566	2,264,434	2,484,000	0
<b>Prudential Borrowing - New build (1-4-1 Receipts)</b>										
Metis Developments	4,887,000	0	(4,337,000)	550,000	0	550,000	5,028	544,972	550,000	0
Ex Neighbourhood Offices New Build	0	(6,000)	19,000	13,000	0	13,000	12,773	227	13,000	0
Ex Neighbourhood Offices New Build - Gladstone Street	10,000	0	0	10,000	0	10,000	331	9,669	10,000	0
Ex Neighbourhood Offices New Build - Hilton Road	44,000	0	0	44,000	0	44,000	0	44,000	44,000	0
Ex Neighbourhood Offices New Build - Ladbury Road	0	(5,000)	16,000	11,000	0	11,000	0	11,000	11,000	0
Ex Neighbourhood Offices New Build - Monmouth Drive	44,000	0	0	44,000	0	44,000	0	44,000	44,000	0
Kier Housing - Kent Close, Tibbington	25,000	0	0	25,000	0	25,000	0	25,000	25,000	0
Oxford Road Extra Care - New Build	2,421,000	(21,000)	(1,020,000)	1,380,000	0	1,380,000	1,263,081	116,919	1,380,000	0
Brindley 2	25,000	(1,000)	0	24,000	0	24,000	2,564	21,436	24,000	0
Churchvale	0	0	647,000	647,000	0	647,000	27,981	619,019	647,000	0
<b>Prudential Borrowing - High Rise</b>										
High Rise	6,063,000	0	(6,063,000)	0	0	0	0	0	0	0
The Crofts	86,000	0	0	86,000	0	86,000	2,980	83,020	86,000	0
Charlemont Farm	14,000	0	0	14,000	0	14,000	78	13,922	14,000	0
Kynaston House	185,000	0	0	185,000	0	185,000	91,450	93,550	185,000	0
Lion Farm	7,257,000	0	0	7,257,000	0	7,257,000	5,630,658	1,626,342	7,257,000	0
Beaconview	46,000	0	0	46,000	0	46,000	0	46,000	46,000	0
Nelson House	378,000	0	0	378,000	0	378,000	69,074	308,926	378,000	0
Heronville\Paget\Whyley	0	0	0	0	0	0	0	0	0	0
Darley House	23,000	0	0	23,000	0	23,000	0	23,000	23,000	0
Alfred Gunn House	199,000	0	0	199,000	0	199,000	136,323	62,677	199,000	0
Emergency Cladding Works	0	30,000	0	30,000	0	30,000	20,135	9,865	30,000	0
Mountford House	0	325,000	0	325,000	0	325,000	136,359	188,641	325,000	0
High Rise - General	1,000,000	(20,000)	(900,000)	80,000	0	80,000	15,171	64,829	80,000	0
<b>Prudential Borrowing - Other</b>										
Internal Refurbishment	0	852,000	0	852,000	0	852,000	0	852,000	852,000	0
Boiler Replacement	2,352,000	648,000	0	3,000,000	0	3,000,000	1,171,707	1,828,293	3,000,000	0
RTB Buy Backs	0	679,000	821,000	1,500,000	0	1,500,000	1,045,206	454,794	1,500,000	0
Sandfield House	470,000	0	0	470,000	0	470,000	249,188	220,812	470,000	0
ECO Projects	740,000	0	0	740,000	0	740,000	0	740,000	740,000	0
<b>RTB Receipts - Allowable Debt</b>										
Internal Refurbishment	852,000	(852,000)	0	0	0	0	0	0	0	0
RTB Buy Backs	0	0	0	0	0	0	0	0	0	0
Lion Farm	0	0	0	0	0	0	0	0	0	0
Boiler Replacement	648,000	(648,000)	0	0	0	0	0	0	0	0
<b>RTB Receipts - 1-4-1 Replacement</b>										
Brindley 2	3,529,000	(3,529,000)	0	0	0	0	0	0	0	0
Ex Neighbourhood Offices New Build	0	1,000	0	1,000	0	1,000	1,099	0	1,099	99
Ex Neighbourhood Offices New Build - Gladstone Street	0	6,000	0	6,000	0	6,000	5,474	526	6,000	0
Ex Neighbourhood Offices New Build - Hilton Road	0	0	0	0	0	0	142	0	142	142
Ex Neighbourhood Offices New Build - Ladbury Road	0	0	0	0	0	0	0	0	0	0
Ex Neighbourhood Offices New Build - Ladbury Road	0	5,000	0	5,000	0	5,000	0	5,000	5,000	0

Housing Revenue Account							Appendix M3 Capital Monitoring			
	Original Budget 2018/19 (Main Programme)	Additional Approvals / Adjustments	Re-Profile to Future Years	Revised Budget 2018/19 (Main Programme)	Self Financing	Total Budget 2018/19	2018/19			(Surplus) / Deficit for the Year
	£	£	£	£	£	£	Actual Spend to Date £	Remaining Spend £	Total Forecast Expenditure £	£
Ex Neighbourhood Offices New Build - Monmouth Drive	0	0	0	0	0	0	0	0	0	0
Kier Housing - Kent Close, Tibbington	0	0	0	0	0	0	0	0	0	0
Oxford Road Extra Care - New Build	571,000	21,000	0	592,000	0	592,000	541,320	50,680	592,000	0
Churchvale	0	278,000	0	278,000	0	278,000	11,992	266,008	278,000	0
<b>RCCO : MRA/Ringfenced Approvals</b>										
Internal Refurbishment	14,184,000	(2,900,000)	(2,500,000)	8,784,000	0	8,784,000	6,949,949	1,834,051	8,784,000	0
Boiler Replacement	0	2,000,000	0	2,000,000	0	2,000,000	2,000,000	0	2,000,000	0
Single to Double Glazing & Composite Doors	0	900,000	0	900,000	0	900,000	681,396	218,604	900,000	0
<b>RCCO - Other</b>										
Adaptations for Disabled	3,824,000	0	0	3,824,000	0	3,824,000	2,081,418	1,742,582	3,824,000	0
Oxford Road Extra Care - New Build	0	0	0	0	0	0	0	0	0	0
Strathmore Road	0	0	0	0	0	0	0	0	0	0
Boiler Replacement	0	0	0	0	0	0	0	0	0	0
High Rise - General	0	0	0	0	0	0	0	0	0	0
ECO Projects	260,000	0	0	260,000	0	260,000	0	260,000	260,000	0
Estate Improvements	632,000	0	0	632,000	0	632,000	28,370	603,630	632,000	0
Internal Refurbishment	0	0	0	0	0	0	0	0	0	0
Property Conversions	121,000	0	0	121,000	0	121,000	78,627	42,373	121,000	0
Replacement of CO2 and Smoke Detectors	267,000	0	0	267,000	0	267,000	64,788	202,212	267,000	0
Sandfield House	525,000	0	0	525,000	0	525,000	525,000	0	525,000	0
Riverside PFI	0	0	0	0	0	0	0	0	0	0
CCTV Expansions	150,000	0	(150,000)	0	0	0	0	0	0	0
<b>Total</b>	<b>68,073,000</b>	<b>(2,237,000)</b>	<b>(20,557,000)</b>	<b>45,279,000</b>	<b>2,655,000</b>	<b>47,934,000</b>	<b>27,960,687</b>	<b>19,973,554</b>	<b>47,934,241</b>	<b>241</b>